PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT

| | | 7 | THE PATENT COOPERATION | <u></u> | | | | |
|---|--|---|---------------------------------------|---|--|--|--|--|
| (51) International Patent Classification 6: C07K 14/36, C12N 15/31, C12P 19/56 | Al | C | 11) International Publication Number: | WO 96/10581 | | | | |
| 1300, 0121 1331, 0121 1330 | | (4 | 43) International Publication Date: | 11 April 1996 (11.04.96) | | | | |
| (21) International Application Number: PCT/FI (22) International Filing Date: 29 September 1995 (22) | (81) Designated States: AU, CA, CZ, US, European patent (AT, BE, GR, IE, IT, LU, MC, NL, PT, S | CH. DE. DK. ES FR GR | | | | | | |
| (30) Priority Data: 944556 30 September 1994 (30.09.9 | FI | Published With international search report. Before the expiration of the tin claims and to be republished in | re limit for amending the | | | | | |
| (71) Applicant (for all designated States except US): GAL OY [FI/FI]; Elinantic 2 A 9, FIN-20510 Turku (FI | ILAEU). | S | amendments. | me even by the receipt by | | | | |
| (72) Inventors; and (75) Inventors/Applicants (for US only): YLIHONKO, [FI/FI]; Betonimiehenkatu 13 as. 1, FIN-20780 Tur HAKALA, Juha [FI/FI]; Elinantie 2 A 9, FIN-2051 (FI). MÄNTSÄLÄ, Pekka [FI/FI]; Mullintie 12, FII Turku (FI). | rku (FI O Turk N-2030 |). iu 0 | | | | | | |
| (74) Agent: OY JALO ANT-WUORINEN AB; Iso Roobe 4-6 A, FIN-00120 Helsinki (FI). | ertinkat | u | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| (54) Title: PROCESS FOR PRODUCTNG ANTEURACYCL | Desc | | | | | | | |
| (54) Title: PROCESS FOR PRODUCING ANTHRACYCL (57) Abstract | INES . | AN | ID INTERMEDIATES THEREOF | | | | | |
| The present invention pertains to a process for process for process host a DNA fragment relating to the biosyntare converted to anthracyclines or aglycones thereof using e. | | | | expressing in a foreign e intermediates obtained | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

| AT | Austria | GB | United Kingdom | MR | Mauritania |
|----|--------------------------|----------|------------------------------|-----|--------------------------|
| AU | Australia | GE | Georgia | MW | Malawi |
| BB | Barbados | GN | Guinea | NE | Niger |
| BE | Belgium | GR | Greece | NL | Netherlands |
| - | | HU | Hungary | NO | Norway |
| BP | Burkina Faso | IE. | Ireland | NZ | New Zealand |
| BG | Bulgaria | 17 | Italy | PL | Poland |
| BJ | Benin | | = | PT | Portugal |
| BR | Brazil | JP "" | Japan V | RO | Romania |
| BY | Belarus | KE | Kenya | RU | Russian Federation |
| CA | Canada | KG | Kyrgystan | SD | Sudan |
| CF | Central African Republic | KP | Democratic People's Republic | SE | Sweden |
| CG | Congo | | of Korea | SI | Slovenia |
| СН | Switzerland | KR | Republic of Korea | | |
| CI | Côte d'Ivoire | KZ | Kazakhstan | SK | Slovakia |
| CM | Cameroon | LI | Liechtenstein | SN | Senegal |
| CN | China | LK | Sri Lanka | TD | Chad |
| CS | Czechoslovakia | LU | Luxembourg | TG | Togo |
| cz | Czech Republic | LV | Larvia | LT. | Tajikistan |
| DE | Germany | MC | Monaco | TT | Trinidad and Tobago |
| | Denmark | MD | Republic of Moldova | UA | Ukraine |
| DK | * ···· | MG | Madagascar | US | United States of America |
| ES | Spain | ML | Mali · | UZ | Uzbekistan |
| FI | Finland | MN | Mongolia | VN | Viet Nam |
| FR | France | MLN | MUNEOIN | ••• | |
| GA | Gabon | | | | |
| | | | | | |

WO 96/10581

Process for producing anthracyclines and intermediates thereof

The present invention pertains to a process for producing anthracyclines and intermediates thereof by expressing in a foreign host a DNA fragment relating to the biosynthetic pathway of anthracyclines and, if desired, the intermediates obtained are converted to anthracyclines or aglycones thereof using non-producing mutant strains.

Polyketide antibiotics are a broad and variable group of compounds which are composed of poly-β-ketomethylene chain [CHRO]₄₋₂₀. A common feature of poly-ketides is their biosynthetic route which is similar to the biosynthesis of fatty acids. Katz, L. and Donadio, S. (1993) have recently published a review article concerning polyketides. As their structure the antibiotics of anthracycline group are aromatic polyketides, the common structural body of which is 7,8,9,10-tetrahydro-5,12-naphthacene kinone of the general formula (A)

15

10

5

20

25

To this structural body one or more sugars and other substituents are attached. The structural body of the molecule, to which the sugars are attached, is called an aglycone. Anthracyclines are discussed more specifically e.g. in the article of A. Fujiwara and T. Hoshino (1986). Several anthracyclines are cytostatically active and thus they are of continuous interest.

30

To find new anthracyclines screening of *Streptomyces* bacteria from the soil and mutation thereof are used. To modify known anthracyclines synthetic methods have been used, whereby chemical groups are added to or removed from either the aglycone

WO 96/10581 PCT/F195/00537

2

or the sugar moiety. Similarly, biotransformation is used, wherein in living cells molecules are modified which have been produced by other production strains or by synthetic methods. Some anthracyclines have also been produced by synthetic methods.

5

10

15

The hybrid antibiotic technology has been disclosed as a new technology in the preparation of new antibiotics. It has been established to comprise production by genetic engineering of molecules which have structural features of natural products of two strains. The process is described in the publication of H.G. Floss: "Hybrid antibiotics – the contribution of the new gene combinations" (1987). The hybrid antibiotic technology gives an opportunity to controlled production of new compounds.

Cloning of actinorhodin genes from Streptomyces coelicolor (Hopwood et al., 1985) can be considered as the pioneer work in the molecular biological study of polyketide antibiotics and at the same time of streptomycetes. In 1987 Malpartida et al. reported about the hybridization of different polyketide producers to the actI and actIII DNA fragments and thereafter genes of the polyketide synthase (PKS) domain have been identified in many Streptomyces species exploiting the homology. Sequencing of these genes has shown that the genes are strongly conserved and include three Open Reading Frames, ORF 1, 2 and 3. The products of these three genes are needed for the formation of the linear polyketide bound to the enzyme complex. For the optimal formation of the correct product encoded by the PKS-genes five ORFs are needed in tetracenomycin (Shen and Hutchinson, 1993). The sequenced aromatic PKSs are given in Table 1.

25

20

10

Table 1. Cloned and sequenced gene domains encoding polyketide synthase of aromatic polyketide antibiotics

| Strain | Product | Reference |
|------------------|-----------------|---|
| S. coelicolor | aktinorhodin | Fernandez-Moreno, M.A. et al. 1992 Hallam, S.E. et al. 1988 |
| S. violaceoruber | granaticine | Sherman, D.H. et al. 1989 |
| S. glaucescens | tetracenomycin | Bibb, M.J. et al. 1989 |
| S. rimosus | oxitetracycline | Kim, E-S. et al. 1994 |
| S. cinnamonensis | monensine | Arrowsmith, T.J. et al. 1992 |
| S. griseus | griseusine | Yu, T-W. et al. 1994 |
| S. roseofulvus | frenolisine | Bibb, M.J. et al. 1994 |

Polyketide synthase (PKS) is a multienzyme complex which functionally reminds the synthase of long chain fatty acids. The separate components of actinorhodin PKS are so called actORF1 ketoacyl synthase (KS); actORF2 homologous to KS may effect on the length of the polyketide chain (McDaniel, R., et al., 1993); actORF3 acyl carrier protein (ACP); actORF5 ketoreductase (KR) and actORF4 cyclase/dehydrase, which may be responsible for the aromatization of the first ring.

20

25

15

The most part of the biosynthetic anthracyclines are formed via the aklavinone intermediate phase, whereafter the compound is glycosylated or it is modified by adding e.g. hydroxyl or methyl groups. Modifications can occur also after the glycosylation. The biosynthesis of aklavinone and anthracyclines which are further formed therefrom are described e.g. in "Advances in bioconversion of anthracycline antibiotics" (1989) of U. Gräfe et al., and in the references cited therein: The

WO 96/10581 PCT/FI95/00537

4

biosynthetic route of the nogalamycin aglycone being formed of ten acetates is evidently analogous to the biosynthesis of aklavinone. (Figures 1A and 1B).

Description of the invention

5

10

15

A DNA fragment cloned from *Streptomyces nogalater* can be used according to this invention to combine the different phases of the biosynthetic route of anthracyclines, whereby hybrid anthracyclines and precursors of anthracyclines can be produced. This happens by transferring the cloned DNA fragment to a *Streptomyces* strain which produces anthracyclines or, alternatively, to a non-producer of anthracyclines.

The DNA fragment of Streptomyces nogalater including in the biosynthesis of anthracycline and being cloned according to this invention caused surprisingly production of anthracycline precursors in S. lividans, a host which does not produce anthracyclines. On the basis of the structures of the compounds obtained, the DNA fragment was supposed to include most of the genes needed for the biosynthesis of anthracycline aglycones. By complementation of mutant strains, analyzing the hybrid products and sequencing the DNA fragments we have been able to show that the DNA fragment comprises

- the activity responsible for the election of the starting unit which defines the side chain of the 9-position (S. galilaeus hybrid products),
 - the polyketide synthase genes,
 - the gene of the enzyme which is needed for removing the hydroxyl in 2-position, (ketoreductase),
- 25 the methyl transferase gene needed for the carboxylic acid esterification,
 - the mono-oxygenase gene.

This DNA fragment and anthracycline precursors produced by it have further been used to produce hybrid anthracyclines.

30

The present invention enables one to produce some known cytostatically active anthracyclines (auramycins) as well as prior unknown compounds. Use of the

polyketide synthase of anthracyclines in the production of hybrid anthracyclines has not been described previously, neither the change of the starting unit of polyketide synthesis by transferring genes to a foreign host. Further, there is no prior disclosure of the cloning of genes of the biosynthetic pathway of nogalamycin produced by S. nogalater, or use thereof.

The similarity of the biosynthetic genes of polyketide antibiotics disclosed by Malpartida et al. (1987) was the starting point to the discovery of the biosynthetic genes of nogalamycin. The total DNA of S. nogalater being cleaved by suitable restriction enzymes was hybridized by the Southern-techniques to the actI probe, and thus two hybridizing DNA fragments were obtained. In an optimal case a suitable probe shows one DNA fragment. The use of cross hybridization was, however, considered to be possible as a strategy in identifying the biosynthetic genes, because the signals were strong.

15

20

10

5

The strategy by which the DNA fragment according to the invention was found was the following: A fragment homologous to the actI fragment described by Malpartida et al. (1987) was isolated from S. nogalater. Said homologous fragment and flanking DNA fragments were transferred into a S. lividans strain TK24. Altogether about 20 kb (=kilobase, 1000 bases) were transferred in five fragments into a foreign host. Of these an about 12 kb DNA fragment, pSY15, causes the production of nogalamycin intermediates in S. lividans. The recombinant strain obtained was cultivated in a nutrient medium used for anthracycline producers and the product was extracted by suitable organic solvents.

25

30

DNA fragments according to the invention were transferred into *Streptomyces* strains described hereinafter as well as to *S. galilaeus* mutants H028, JH003, H061, H036 and H039 given in Table 2, and expressed in them. Said DNA fragments can correspondingly be transferred to other mutants mentioned in the table, depending on what kind of products are desired.

Streptomyces lividans 66, strain TK24, restriction-modification-free strain.

Streptomyces galilaeus ATCC 31615, produces aklacinomycin.

Mutants of Streptomyces galilaeus ATCC 31615 (cf. Table 2) (Ylihonko et al., 1994).

Table 2. The products of Streptomyces galilaeus mutants; abbreviations used:

Akn=aklavinone, aglycone moiety of aclacinomycins; Rhn=rhodosamine;

dF=deoxyfucose, CinA=Cinerulose A; Rho=rhodinose

| | Mutant | Product | Description of mutation |
|----|--------|--|-------------------------------------|
| 10 | H028 | No production | Mutation in PKS-domain |
| | JH003 | No production | Mutation in PKS-domain |
| | H061 | 2-OH-Aklanone acid | No removal of 2-OH |
| | H036 | Methyl ester of aklanone acid | The fourth ring does not get closed |
| | Н039 | 1)Aklavinone 2)Akn-Rho-Rho | Amino sugar is missing. |
| 15 | H038 | Akn-Rhm | Mutation in glycosylation |
| | H026 | Akn-Rhn-dF-Rho | Oxidoreductase is missing |
| | H035 | Not identified | Mutation in the glycosylation |
| | H054 | 1)Akn-Rho-dF-CinA 2)Akn-dF-dF-CinA 3)Akn-Rho-dF-Rho 4)Akn-Rho-dF 5)Akn-dF-dF | Amino sugar is missing |

- When producing the starting product for biotransformation the host used is preferably S. lividans, because it does not itself produce coloured or extractable compounds in the growth conditions used.
- When producing an aglycone for biotransformation the bacterial strains producing anthracyclines or non-producing mutants thereof are preferably used, most preferably

10

15

20

25

30

non-producing mutants of S. galilaeus being transformed with plasmid pSY15 (Fig. 3), carrying the above mentioned 12 kb DNA fragment.

When converting the anthracycline precursors obtained using the plasmid pSY15 to anthracyclines or their aglycones, S. galilaeus mutants, e.g. strains JH003 or H028, which do not produce aclarubicin are preferably used.

The DNA-constructions according to the present invention can be constructed by ligating suitable DNA fragments from the domain as described to a suitable vector. Such a vector is preferably the high copy number plasmid pIJ486 capable to amplify in several strains of the genus *Streptomyces* (Ward et al., 1986).

To produce anthracyclines and their precursors strains carrying the pSY15 plasmid are grown preferably in growth media for *Streptomyces* bacteria, preferably in E1-medium, to which thiostrepton has been added to maintain the plasmid carrying strains. The strains are grown in conditions which are advantageous to the producing strain, e.g. in a shaker in bottles, or in a fermenter which is stirred and aerated. After a suitable cultivation time, preferably after 2-7 days the products are isolated according to methods described for bacterial metabolites, preferably e.g. extracting with a suitable solvent, e.g. toluene or chloroform. The extracted compounds are purified with suitable methods e.g. by using column chromatography.

Anthracycline precursors are converted to anthracyclines in strains naturally producing anthracyclines, or mutants thereof. Compounds similar to those naturally produced by the strain are thus obtained, having methyl in their 9-position and hydrogen in their 2-position. In biotransformations auramycinone produced by a S. galilaeus strain carrying the plasmid pSY15 is most suitably used as the starting compound, or methyl ester of nogalonic acid produced by a strain carrying the same plasmid which naturally does not produce anthracyclines. In biotransformations most preferably non-producing mutants of anthracycline production strains are used, e.g. mutant H028 or JH003. Biotransformation is effected most preferably by cultivating a strain in a suitable liquid production medium, e.g. in E1-medium, and by adding anthracycline precursors in

suitable amounts. After a suitable time, e.g. 6 to 48 hours, most preferably 16 to 32 hours, the anthracyclines so formed are extracted.

The strains used for transformation (cf. also Table 2) are described in the following.

5

10

15

TK24 is a S. lividans strain which in the growth conditions used does not produce coloured secondary metabolites. In other growth conditions it produces actinorhodin, which is an antibiotic differing very much from anthracyclines. The strain does not produce any anthracyclines nor their precursors. When characterizing the products of TK24/pSY15 on the basis of NMR-spectrum compound I was obtained as the primary product, which is possibly an intermediate of anthracycline biosynthesis (cf. Scheme I).

_

H028 is a mutant of Streptomyces galilaeus which does not as such produce anthracyclines or their precursors. However, this strain can be used in biotransformations to convert anthracycline precursors to products similar to aclarubicin. When characterizing H028/pSY15 products it was found that this strain produces auramycinone (Compound II), which is an anthracycline aglycone similar to aklavinone, as well as auramycins which are glycosides of auramycinone, e.g. Compound III. When hydrolyzing auramycins auramycinone is obtained, which also shows that the compounds produced are glycosides of auramycinone. Auramycinone is a useful precursor of anthracyclines, when new anthracyclines are produced by biotransformation. Auramycins have been described to be cytostatic anthracyclines having possible use in cancer chemotherapy. The use of H028/pSY15 for the production of these is new.

25

30

20

H061 is a Streptomyces galilaeus mutant, which produces 2-OH-aklanone acid. This is evidently due to a mutation which prevents removal of the hydroxyl in 2-position. H061/pSY15 produces aklavinone, auramycinone and their glycosides similar to aclarubicin. According to the result pSY15 complements the mutation of H061 and comprises thus the gene encoding the 2-position dehydroxylase. This is useful in

10

15

20

producing new hybrid compounds when transformed to a strain the products of which naturally have hydroxyl or a methoxy group in 2-position.

On the basis of the results pSY15 is useful in producing precursors of anthracyclines in strains which naturally do not produce anthracyclines, or when producing hybrid anthracyclines in strains which produce anthracyclines, or in mutants thereof. With it the formation of 9-position side chain can be affected so that the strains which provide a two carbon side chain at this position, do produce compounds which have a one carbon side chain at said position. Possible strains producing anthracyclines which can be modified this way are e.g. S. galilaeus, S. peucetius and S. purpurascens. The anthracycline precursors produced this way are useful in producing new anthracyclines by biotransformations. pSY15 can also be transferred to a strain which normally produces compounds which at 2-position have hydroxyl or a methoxy group. Thereby compounds are obtained which have hydrogen at this position. pSY15 enables also one to produce previously described auramycinone and its glycosides by the new method.

In the following the detailed embodiments of the invention are described as examples of isolation of the DNA fragment from S. nogalater strain ATCC 27451, production of nogalamycin precursors in S. lividans strain TK24, production of auramycinone in the mutant H028 and their modification to anthracyclines in the mutant JH003. In addition, expression of the DNA fragments according to the invention in the mutants of the strain S. galilaeus is described, as well as the compounds produced by these strains.

25

The main products of the strains TK24/pSY15, JH003/pSY15, H028/pSY15 and H061/pSY15 were characterized.

Brief description of drawings

- Fig. 1A Anthracyclines produced by Streptomyces strains, and identified precursors thereof. (Starting molecule: propionate.) The numbers of S. galilaeus mutant strains producing the intermediates are given in parentheses.
 - Fig. 1B Anthracyclines produced by *Streptomyces* strains having acetate as the starting molecule.
- 10 Fig. 2 Restriction map of the 12 kb continuous DNA fragment cloned from S.

 nogalater genome. The figure discloses also the inserts contained in the pSY plasmids obtained. Plasmid pIJ486 has been used in preparing the pSY vectors. On the basis of sequence comparisons the following functions have been obtained for the open reading frames shown in the figure: 1 = ketoacylsynthase-acyltransferase, 2 = Chain Length Controlling Factor (CLF), 3 = acyl transferring protein; A and B = regulatory genes, C = mono-oxygenase, D = methyl transferase, E = ketoreductase.
 - Fig. 3 Structure of the plasmid pSY15.

20

- Fig. 4 NMR-spectrum of compound I.
- Fig. 5 NMR-spectrum of auramycinone.
- 25 Fig. 6 NMR-spectrum of auramycinone-rhodosamine-deoxyfucose.
 - Fig. 7 NMR-spectrum of auramycinone-rhodinose-deoxyfucose.

10

15

25

30

Materials used

Bacterial strains and plasmids

The strain Streptomyces nogalater ATCC 27451 was used as the donor of genes. The Streptomyces bacterial strains used in this work as hosts are listed above. The treatments of S. nogalater DNA were effected in the E. coli strain XL1-Blue (recA1, endA1, gyrA96, thi-1, hsdR17, supE44, relA1, lac, [FproAB, lacIZAM15, TnIO (tet)] (Stratagene Cloning Systems, California). E. coli strains GM2163 (E. coli Genetic Stock Center, Department of Biology 255 OML, Yale University, New Haven, USA) and LE392 (Promega) were used in preparing the gene bank and in amplifying the phage DNA.

In E. coli the plasmids pUC18/pUC19 (Pharmacia Biotech) were used, and in Strepto-myces strains the plasmid pII486 was used (Ward et al., 1987; obtained from Prof. Hopwood, John Innes Centre, UK).

Nutrient media and solutions used

TRYPTONE-SOYA BROTH (TSB)

20 Per litre: Oxoid Tryptone Soya Broth powder 30 g.

YEME (Hopwood et al., 1985., p. 239)

Per litre: Yeast extract (Difco) 3 g, Bacto-peptone (Difco) 5 g, malt extract (Oxoid) 3 g, glucose 10 g and saccharose 340 g. After autoclaving 2 ml of sterile 2.5M MgCl₂ solution and 25 ml of 20% glycine are added.

SGYEME As YEME, but the amount of saccharose was 110 g per litre. To prepare protoplasts the amount of 20% glycine varies from 12 ml to 50 ml per litre depending on the strain used.

YM-agar Bacto Yeast malt extract agar, ISP-medium 2, Difco; 38 g/litre.

PCT/FI95/00537

12

ISP4 Bacto ISP-medium 4, Difco; 37 g/litre.

R2YE Hopwood et al., (1985 p. 236)

5 LB Sambrook et al., (1989, 3:A.1)

Per litre: Glucose 20 g, starch 20 g, Farmamedia 5 g, yeast extract 2.5 g, K₂HPO₄• 3H₂O 1.3 g, MgSO₄• 7H₂O 1 g, NaCl 3 g, CaCO₃ 3 g. Tap water is added to 1 litre and pH is adjusted to 7.4.

10

WO 96/10581

TE Tris-HCl-buffer, pH 8: 10 mM, EDTA, pH 8: 1 mM

20*SSC Per litre: NaCl 175.3 g, Na-citrate 88.2 g. pH is adjusted to 7 with NaOH.

15 DENHARDT SOLUTION (Sambrook et al., 1989, 3:B.1)

A 50* basic solution is prepared, which contains Ficoll 5 g, polyvinyl pyrrolidone 5 g, BSA (bovine serum albumin) 5 g. Distilled water is added to 500 ml and sterilized by filtrating.

20

30

- Example 1. Cloning and characterization of the genes included in the anthracycline biosynthesis of Streptomyces nogalater
- 1.1 Preparing of gene bank and cloning of anthracycline genes from S.25 nogalater.

Isolation of the total DNA from Streptomyces nogalater

S. nogalater (ATCC 27451) mycelia were cultivated for about 3 days in 50 ml of TSB medium, wherein 0.5% glycine had been added at 28 °C vigorously shaking. The mycelia were pelleted and the supernatant was discarded. The pellet was suspended into 10 ml of lysis buffer (15 % saccharose, 25 mM Tris, pH 8.0, 25 mM EDTA and 5 mg/ml of lysozyme) and incubated for 15 min at 37 °C. 1 mg of proteinase K and

10

25

30

1 ml of 10% SDS were added while stirring. The mixture was incubated at once for 15 min at 70 °C. The lysed pellet was subsequently cooled in ice, 1 ml of 3 M Naacetate (pH 6.0) was added and kept for a few minutes on ice bath. 5 ml of phenol balanced with 0.1 M Tris was added and stirred by turning the tube around. The phases were sentrifuged apart and the water phase was further extracted with 5 ml of chloroform. DNA was subsequently precipitated by adding 10 ml of isopropanol. DNA was spinned cautiously around a Pasteur pipette being closed by flaming, washed by dipping into 70% ethanol and DNA was loosened onto the wall of the tube. DNA was dissolved in 5 ml of TE-buffer and treated with RNase (25 µl of 10 mg/ml DNase free RNase) for about 30 min at 37 °C. The phenol and chloroform extractions were repeated. DNA was subsequently reprecipitated with isopropanol and washed as above. Finally DNA was dissolved in 1 ml of TE-buffer and it was used for subsequent steps.

Southern hybridization

The actI probe was the 0.8 kb Bg/II-fragment obtained from the plasmid pIJ2345 and the acm probe the 3 kb BamHI-fragment obtained from the plasmid pACM5 (Niemi et al., 1994). The plasmids were isolated at mini-scale (Magic Minipreps reagent series of Promega) and the probe fragments were isolated by preparative agarose gel electrophoresis after digesting them first with Bg/II and with BamHI, respectively. The probes were then labeled with 50 μCi of [α³²-P]CTP by nick-translation (Nick translation labeling reagent series of Boehringer Mannheim).

The total DNA preparations isolated as described above were digested with EcoRI enzyme and fractionated with agarose gel electrophoresis. The fractionated DNA was transferred from the gel to Hybond N membrane (Amersham) using the Vacugene apparatus (LKB 2016, Pharmacia LKB Biotechnology) according to the instructions of use. DNA was fastened into the membrane by incubating for 3 min in UV light.

The membranes were hybridized in 10 ml of hybridization solution (1% SDS, 1M NaCl, 5* Denhardt's solution, 100 µg/ml denatured carrier DNA (DNA from calf thymus, Boehringer Mannheim) at 65 °C in a hybridization oven (HB-1D Hybridiser, Techne) for about 6 h, whereafter at least 100 ng of labeled probe-DNA was added

into the hybridization tube and the inkubation was continued for further about 12 h. After this the membranes were washed at 65 °C for 2*30 min in a wash solution (2*SSC, 1% SDS or 0.2*SSC, 0.1% SDS). Autoradiography was effected by superimposing the membrane coated with a plastic film and the autoradiography film. Exposure lasted about 1 to 3 days.

Preparing of the gene bank from S. nogalater DNA

40 µg of DNA was incubated in the digestion buffer (10*A, Boehringer Mannheim) in the presence of 2.4 units of Sau3A (Boehringer Mannheim) for 5 min at 37 °C and the reaction was stopped by adding phenol. After phenol treatment DNA was purified with ethanol precipitation. DNA-fragments so obtained were run at preparative agarose gel electrophoresis (0.3 % LGT, low gelling temperature). DNA, which was 20 kb or bigger, was taken from the gel by cutting and purified by phenolization from the agarose. A commercial phage vector, \(\lambda\) EMBL 4, \(Bam\) HI fragments (Amersham International plc, Amersham UK) were treated with alkaline phosphatase (CIAP, calf intestinal alkaline phosphatase, Promega) according to the instructions of the manufacturer. The insert DNA (Sau3A fraction) and vector so obtained were ligated by incubating for 2 h at room temperature and for 2 h at 14 °C in the presence of T4-DNA ligase (Promega) according to the recommendation of the manufacturer. The ligation mixture was packed to \(\lambda\)-particles using the Packagene reagent series (Promega Biotech) according to the manufacturer's instructions. Escherichia coli strain GM2163 was used as the host. The cells were prepared for infection according to the packing instructions and cells infected with the packing mixture were spread onto plates according to Promega's instructions.

25

30

5

10

15

20

Isolation and mapping of hybridizing clones

Phage DNA from plates with about 4000 plaques/plate was transferred to a membrane (Colony/Plaque Screen, New England Nuclear) according to the manufacturer's instructions. The membranes were hybridized as described above. Plaques which gave a signal in autoradiography, were picked up and the phages were eluted from them by incubating a plaque in 0.5 ml of SM-buffer for 2 hours. Because the plaque plates

30

were dense, the plaques were purified by infecting them into the host strain LE392 (Promega) and hybridizing as above.

From the purified clones phage DNA was prepared in 20 ml scale by infecting the LE392 cells according to Promega's packing instructions. The DNA so obtained was digested with various restriction endonucleases to map the clones (Sambrook et al., 1989) and by hybridizing with different probes. The restriction map so obtained is given in Fig. 2.

10 Transfer of the DNA fragments to S. lividans and detection of new compounds The fragment shown in the restriction map (Fig. 2) was transferred into S. lividans as EcoRI-fragments (pSY1 and pSY6) or as a BglII-fragment (pSY15). λ-clones were digested with EcoRI or BglII-restriction enzyme and ligated to a plasmid made linear with the same enzyme and was transformed by electroporation into E. coli or by 15 protoplast transformation into S. lividans. Most of the inserts were first cloned into the plasmid pUC19 amplifying in E. coli, whereby as a host E. coli strain XL1-Blue was used. pSY15 was cloned directly into the S. lividans strain TK24. E. coli was used because by that way smaller amounts of phage-DNA could be used. The transformation efficacy of E. coli was 2*108 transformants/µg DNA, when E. coli Pulser 20 Apparatus-electroporation device (Bio-Rad) was used with the following settings (200 Ohm, 25 µF, 1.4 kV). For electroporation the cells were treated as described in Dower, W.J. et al. (1988), and 0.1 cm cuvettes of Bio-Rad were used in transformation, the cell volume was 20 µl.

S. lividans strain TK24 was used as an intermediate host as the expression was believed to be successful only in S. galilaeus strains. S. galilaeus is not at all transformable with DNA propagated in E. coli. Only the plasmid pSY15 caused modification in TK24 strain, which was noticed as brown colour on the ISP4 plate, when TK24 is normally rather colourless or blue. Only the TK24 strain carrying the plasmid pSY15 caused formation of coloured products in the E1-medium well suited for the production of anthracyclines. On the basis of thin layer chromatography the products of the recombinant strain TK24/pSY15 seemed to be alike to but not identical

PCT/F195/00537 WO 96/10581

16

with those produced by the mutant H036 (Ylihonko et al., 1994) producing the methyl ester of aklanone acid. With the eluent toluene:ethyl acetate:methanol:formic acid (50:50:15:3) the following R_f-values were obtained for these products:

TK24/pSY15: 0.66; 0.60; 0.50 5

H036: 0.67; 0.62; 0.51.

These characteristics were confirmed to come from the pSY15 plasmid by retransforming the plasmid to S. lividans TK24 strain. The transformants so obtained were also able to produce anthracycline precursors. When the recombinant strain was cultivated in E1 medium without selection pressure of the plasmid strain caused by thiostrepton, the production of new compounds decreased.

1.2 Localizing the PKS-genes

15

20

25

30

10

Sequencing of the hybridizing fragment

From the EcoRI-digest a 2 kb actI hybridizing fragment was obtained and it was sequenced. About 2 kb of DNA to the right according to the map (Fig. 2) was additionally sequenced. For sequencing 31 clones were prepared from restriction enzyme digestion sites to the vectors pUC18 and pUC19, being linearized with corresponding enzymes.

To isolate plasmids for the sequencing reactions Magic/Wizard™ Minipreps DNA Purification System kit of Promega was used. E. coli XL1-Blue cells were cultivated overnight in 3 ml of LB-medium which contained 50 µg/ml of ampicillin, and the plasmids were isolated according to the manufacturer's instructions.

DNA-sequencing was performed by using dideoxy chain termination method. For the sequencing reactions Deaza G/A TSequencing™ Mixes (Pharmacia) and TaqTrack® Sequencing Systems, Deaza (Promega) sequencing reagent series were used. Denaturation was always performed according to the instructions in the Pharmacia kit. (Method C). When using the Pharmacia kit the primers were ligated according to the

10

Method C given in the instructions (Standard Annealing of Primer to Double-Stranded Template). When using the Promega kit the item "Sequencing Protocol Using Direct Incorporation" of the manufacturer's instructions was followed. Deviating from the primer ligation temperature (37 °C) recommended by the manufacturer the temperature of 45 °C was used to avoid the secondary structures caused by the high GC-content. The temperature was kept thereafter at 45 °C until the end of the reaction. As a radioactive label [α³⁵S]dATP (NEN Products Boston, MA) was used. Most of the PKS-domain was sequenced with a universal primer (5'-d(GTTTTCCCAGTCAC-GAC)-3') and with a reverse primer (5'-d(CAGGAAACAGCTATGAC)-3' (pUC/M13 17 mer Primers, Promega). When sequencing the longest fragments (500-600 bp) of the domain, and in order to define the sequences of such restriction sites which could not be "passed", six specific primers were used. The primers were prepared at the Department of Bioorganic Chemistry in the University of Turku.

The sequencing gels were run by the Macrophor-system of Pharmacia, using a 4% thickness gradient gel. Running conditions: current 20 mA, voltage 2500 V.

Sequence analysis

From the PKS domain the DNA fragment with about 4134 bases (as given in the sequence listing) was sequenced, the analysis of which was performed by GCG-software (Genetics Computer Group, GCG Package, Wisconsin USA). With the subprogram CODONPREFERENCE the open reading frames were sought from the sequence. The reading frames obtained were translated to the amino acid sequence and with the TFASTA-subprogram homologies to known sequences were sought.

25

30

20

According to the CODONPREFERENCE program the 4134 base DNA fragment as sequenced had altogether three open reading frames (ORF1, ORF2, ORF3) (ORF 1 is the fragment 359-1651 in SEQ ID NO:1 of the sequence listing, ORF2 is the fragment 1648-2877 in the SEQ ID NO:4, and ORF3 is the fragment 2937-3197 in the SEQ ID NO:1). In the beginning of each open reading frame a possible ribosome binding site was found (RBS). The functions of the genes were concluded by comparing the amino acid sequences translated from their base sequences to known sequences. So the

following similarities with the open reading frames of actinorhodin and tetracenomycin PKS domains were obtained: ORF1 (80%, 81%), ORF2 (74%, 77%), ORF3 (62%, 62%), and on the basis of this we present the following functions to said genes: ORF1 is ketoacylsynthase; ORF2 is the factor which effects on the chain length; ORF3 is an acyl carrier protein. These three open reading frames are needed for a functional polyketide synthase.

Upstream of the PKS domain about 6 kb DNA fragment was sequenced (kb = 1000 bases). In this domain the following gene activities have been recognized on the basis of the sequence: (Fig. 2): regulatory genes, mono-oxygenase, methyl transferase and ketoreductase.

Example 2. Transfer of the genes into the strain S. galilaeus ATCC 31615 and mutants thereof

15

20

25

30

10

5

Plasmid pSY15 was isolated from S. lividans strain TK24 and transformed into S. galilaeus mutant H039 and the DNA isolated therefrom further into other S. galilaeus mutants. The method used in the transformation of the S. galilaeus strain being modified from the transformation method used in the transformation of S. lividans has been described earlier (Ylihonko, K., Pro gradu-thesis, University of Turku, 1986). For preparing protoplasts the cells were grown in SGYEME, to which 0.8% saccharose had been added. The plasmids were transformed successfully first to the mutant H039, whereby with 2 µg of plasmid-DNA about 10 transformants were obtained. Because of a strong restriction barrier S. galilaeus is weakly transformable with foreign DNA but the transformation efficacy increases manyfold if the plasmid has been isolated from a S. galilaeus strain.

H039-transformants were first cultivated for about 5 days on an ISP4 plate, whereto thiostreptone had been added. The mycelium was inoculated in 50 ml of TSB nutrient broth (5 µg/ml of thiostreptone added) and grown in a shaker for 5 days. The plasmid was isolated as described above and transformed into other mutants. Usually 200 to

10

500 ng of plasmid was used per one transformation, whereby 10 to 100 transformants were obtained.

After regeneration the transformed mutant strains were spread onto ISP4 plates, wherefrom the mycelium was further transferred to E1 nutrient medium. To retain the plasmid thiostrepton was added to all nutrient media. E1 mycelium was incubated in a shaker (330 rpm, 30 °C) and production was followed by taking after 3 days a 0.5 ml sample of the mycelium daily for 3 to 5 days. The sample was buffered to pH 7 with phosphate buffer and extracted with methanol-toluene mixture (1:1). In addition, part of the samples were acidified with 1M HCl solution and extracted into toluene-methanol. In E1-cultivations both mutants and the S. galilaeus wild strain were used as controls. By comparing the products on TLC the effects of the plasmid on the production were seen.

The S. galilaeus mutants used in transformations are listed above. Plasmid pSY15 complemented, i.e. restored the producing ability of anthracyclines or precursors thereof in the following mutants: H028, H061 and JH003. It did not affect the production profile of the mutants H036 and H039 to any appreciable extent. JH003, which does not produce coloured compounds in the conditions used, has been mutated from the strain H054 and the transformant JH003/pSY15 was compared to the strain H054. H028 is also a non-producing mutant, which was obtained by mutating the wild strain S. galilaeus ATCC 31615. So the wild strain was used as the control of the transformant H028/pSY15. Using the eluent toluene:ethyl acetate:methanol:formic acid (50:50:15:3) the following R_f-values were obtained for the transformants and the host strains used as controls.

H028/pSY15: (0.69); <u>0.61</u>; 0.58; <u>0.01</u>

JH003/pSY15: 0.59; 0.50; 0.46; <u>0.35</u>

H061/pSY15: (0.69); 0.61; 0.58; <u>0.06</u>; <u>0.01</u>

30 S. galilaeus ATCC 31615: 0.23; 0.14; 0.11

H054: 0.65; 0.60; 0.53; 0.48.

H061: 0.50 (acid).

WO 96/10581 PCT/FI95/00537

20

The product isolated in small scale was hydrolyzed by heating in 1M hydrochloric acid at 80 °C for 0.5 h. After hydrolysis the following R_f-values were obtained for the aglycons or precursors thereof:

H028/pSY15: 0.61

5 JH003/pSY15: 0.61

H061/pSY15: 0.61.

Because all these mutants used have originally been produced from a S. galilaeus wild strain, aklavinone was used as comparison, being the aglycone of aclacinomycins produced by S. galilaeus. In the eluent used the R_f-value 0.69 was obtained for aklavinone. In the products of transformants small amounts of aklavinone were also detected.

Example 3. Production of anthracycline precursors

15

20

10

3.1 Production of TK24/pSY15 products

Ten 250 ml erlenmeyer-flasks each containing 60 ml of E1-medium were inoculated with 1 ml aliquots of the strain TK24/pSY15. The flasks were incubated in a shaker at 330 rpm at the temperature of 30 °C for about 3 days. From the finished mycelia production was confirmed by extracting 0.5 ml samples with a mixture of methanol and toluene (1:1). The products were compared to the standard by thin layer chromatography.

The flasks were emptied into two 400 ml centrifuge tubes and centrifuged for 10 min at 3000 rpm. The supernatant was recovered. The precipitate was suspended by adding to each tube 50 ml of methanol. The tubes were recentrifuged for 10 min at 3000 rpm. The methanol solution was added into the supernatant. The precipitate was discarded. The solution was extracted with 2 * 100 ml of chloroform, whereby a strongly orange-yellow chloroform solution was obtained. The water phase was discarded.

Chloroform was evaporated on a water bath in a rotary evaporator. The orange-yellow, dry product was dissolved in 2 ml of chloroform.

The chloroform solutions were pipetted into a chromatography column of glass, equipped with a glass sinter, having a diameter of 2 cm and containing about 5 cm of silica suspended in chloroform (Kieselgel 60, Merck). The column was eluted with 2.5 ml aliquots of chloroform. Each fraction was collected into a separate test tube. Samples of each fraction were dropped on a thin layer and compared to the standards. Fractions containing individual compounds were pooled and evaporated into dryness.

10

20

5

NMR-spectra of pure compounds were determined and the compounds were identified by comparing the spectra with analogical compounds. In Fig. 4 the H-NMR-spectrum of Compound I is given.

15 3.2 Production of an aglycone in the strain H028/pSY15

Ten 250 ml erlenmeyer-flasks each containing 60 ml of E1-medium were inoculated with 1 ml aliquots of the strain H028/pSY15. The flasks were incubated in a shaker at 330 rpm at the temperature of 30 °C for about 4 days. From the finished mycelia production was confirmed by extracting 0.5 ml samples with a mixture of methanol and toluene (1:1). The products were compared to the standards by thin layer chromatography.

The flasks were emptied into two 400 ml centrifuge tubes and centrifuged for 10 min at 3000 rpm. The supernatant was recovered. The precipitate was suspended by adding to each tube 50 ml of methanol. The tubes were recentrifuged for 10 min at 3000 rpm. The methanol solution was added into the supernatant. The precipitate was discarded. The solution was extracted with 2 * 100 ml of chloroform, whereby a strongly yellow

chloroform solution was obtained. The water phase was discarded.

30

Chloroform was evaporated on a water bath in a rotary evaporator. The yellow, dry product was dissolved in 2 ml of chloroform.

WO 96/10581 PCT/FI95/00537

The chloroform solutions were pipetted into a chromatography column of glass, equipped with a glass sinter, having a diameter of 2 cm and containing about 5 cm of silica suspended in chloroform (Kieselgel 60, Merck). The column was eluted with 2.5 ml aliquots of chloroform. Each fraction was collected into a separate test tube. Samples of each fraction were dropped on a thin layer and compared to the standards. Fractions containing individual compounds were pooled and evaporated into dryness.

NMR-spectra of pure compounds were determined and the compounds were identified by comparing the spectra with analogical compounds. In Fig. 5 the H-NMR-spectrum of auramycinone (Compound II) is given.

Example 4. Biotransformation of hybrid products

4.1 Biotransformation of auramycinone in strain JH003

15

20

25

10

5

A 250 ml erlenmeyer-flask containing 60 ml of E1-medium was inoculated with 1 ml of strain JH003. The flask was incubated in a shaker at 330 rpm at the temperature of 30 °C for about 3 days. After two day's cultivation about 2 mg of auramycinone was added into the flask. At 24 hours from this the production was confirmed by extracting a 0.5 ml sample with the mixture of methanol and toluene (1:1). The products were compared to the standard by thin layer chromatography.

The flask was emptied into two 60 ml centrifuge tube and centrifuged for 10 min at 3000 rpm. The supernatant was recovered. The precipitate was suspended by adding to each tube 10 ml of methanol. The tubes were recentrifuged for 10 min at 3000 rpm. The methanol solution was added to the supernatant. The precipitate was discarded. The pooled solution was extracted with 2 * 20 ml of chloroform, whereby a strongly yellow chloroform solution was obtained. The water phase was discarded.

30 Chloroform was evaporated on a water bath in a rotary evaporator. The yellow, dry product was dissolved in chloroform. On the basis of TLC the product was found to correspond to the products of the strain JH003/pSY15 (cf. Example 5.2).

PCT/FI95/00537

Example 5. Production of hybrid anthracyclines

5.1 Production of auramycinone-rhodosamine-deoxyfucose in strain H028/pSY15

5

10

15

25

Ten 250 ml erlenmeyer-flasks each containing 60 ml of E1-medium were inoculated with 1 ml aliquots of the strain H028/pSY15. The flasks were incubated in a shaker at 330 rpm at the temperature of 30 °C for about 4 days. From the finished mycelia production was confirmed by extracting 0.5 ml samples with a mixture of methanol and toluene (1:1). The products were compared to the standard by thin layer chromatography.

The flasks were emptied into two 400 ml centrifuge tubes and centrifuged for 10 min at 3000 rpm. The supernatant was recovered. The precipitate was suspended by adding to each tube 50 ml of methanol. The tubes were recentrifuged for 10 min at 3000 rpm. The methanol solution was added into the supernatant. The precipitate was discarded. The pooled solution was extracted with 2 * 100 ml of chloroform, whereby a strongly yellow chloroform solution was obtained. The water phase was discarded.

20 Chloroform was evaporated on a water bath in a rotary evaporator. The yellow, dry product was dissolved in 2 ml of chloroform.

The chloroform solutions were pipetted into a chromatography column of glass, equipped with a glass sinter, having a diameter of 2 cm and containing about 5 cm of silica suspended in chloroform (Kieselgel 60, Merck). The column was eluted with 2.5 ml aliquots of chloroform. Each fraction was collected into a separate test tube. Samples of each fraction were dropped on a thin layer and compared to the standards. Fractions containing individual compounds were pooled.

NMR-spectra of pure compounds were determined and the compounds were identified by comparing the spectra with analogical compounds. In Fig. 6 the H-NMR-spectrum of auramycinone-rhodosamine-deoxyfucose (Compound III) is given.

30

PCT/FI95/00537

5.2 Production of auramycinone-rhodinose-deoxyfucose in strain JH003/pSY15

Ten 250 ml erlenmeyer-flasks each containing 60 ml of E1-medium were inoculated with 1 ml aliquots of the strain JH003/pSY15. The flasks were incubated in a shaker at 330 rpm at the temperature of 30 °C for about 4 days. From the finished mycelia production was confirmed by extracting 0.5 ml samples with a mixture of methanol and toluene (1:1). The products were compared to the standard by thin layer chromatography.

The flasks were emptied into two 400 ml centrifuge tubes and centrifuged for 10 min at 3000 rpm. The supernatant was recovered. The precipitate was suspended by adding to each tube 50 ml of methanol. The tubes were recentrifuged for 10 min at 3000 rpm. The methanol solution was added into the supernatant. The precipitate was discarded. The pooled solution was extracted with 2 * 100 ml of chloroform, whereby a strongly yellow chloroform solution was obtained. The water phase was discarded.

Chloroform was evaporated on a water bath in a rotary evaporator. The yellow, dry product was dissolved in 2 ml of chloroform.

The chloroform solutions were pipetted into a chromatography column of glass, equipped with a glass sinter, having a diameter of 2 cm and containing about 5 cm of silica suspended in chloroform (Kieselgel 60, Merck). The column was eluted with 2.5 ml aliquots of chloroform:methanol 100:10. Each fraction was collected into a separate test tube. Samples of each fraction were dropped on a thin layer and compared to a standard. Fractions containing individual compounds were pooled and evaporated into dryness.

NMR-spectra of pure compounds were determined and the compounds were identified by comparing the spectra with analogical compounds. In Fig. 7 the H-NMR-spectrum of auramycinone-rhodinose-deoxyfucose is given.

Example 6. Characterization of the products

6.1 HPLC-runs

5

25

30

The retention times of the compounds were determined at RP-18-column, with an eluent acetonitrile:methanol:potassium dihydrogen phosphate buffer (8.00 g/l, pH 3.0) 5:2:3. The retention times of the compounds are: I: 4.63, II: 3.52, III: 4.09 and IV: 7.26. The structures of the compounds I – IV are given in the Scheme I.

6.2 NMR-spectra of the compounds

H-NMR-spectra of some of the TK24/pSY15, H028/pSY15 and JH003/pSY15 products were determined by Brüker 400 MHz NMR spectrometer in deuterium-chloroform. The spectra given by the compounds were compared to the spectra of known compounds, e.g. aclarubicin. The spectra obtained are given in Figs. 4 to 7.

In all of the compounds the hydrogens in 1, 2 and 3-positions bound to each other and with same transitions are found. The singlet corresponding to the hydrogen in 11-position was found in all compounds with the same transition. Additionally, the peaks given by the two aromatic hydroxyls can be seen. On the basis of the peaks of these six hydrogens the aromatic chromophore moieties are similar, and correspond e.g. the chromophore of aklavinone.

In all of the compounds a singlet of the size of three hydrogens is found at about 3.7 ppm corresponding to the methyl of methyl ester. Another singlet is found in all compounds at about 3.8 ppm, which corresponds to the 10-position hydrogen. The integral of this is of the size of one hydrogen in auramycinone and its glycosides and of the size of two hydrogens in Compound I. According to this Compound I suits to be a compound in which the fourth ring has not been closed.

The region 4.7 to 6 ppm has in anthracyclines and in compounds related thereto hydrogens at 7-position and 1-position of the sugars. Auramycinone has in this region one peak, Compounds III and IV have three peaks, but in Compound I there are no peaks in this region. According to this auramycinone has no sugars and Compounds

WO 96/10581 PCT/F195/00537

26

III and IV have two sugars, whereas Compound I has no hydrogens in this region which suits with the keto-form at position 7.

Auramycinone and its glycosides have a three hydrogen singlet between 1.39 and 1.47 ppm. This suits to be the methyl group of position 13, which is not bound to other hydrogens. This item distinguishes these compounds from aklavinone and its glycosides, wherein the side chain is ethyl.

The 8-position CH₂-hydrogens of auramycinone and its glycosides give one doublet at 2.2 ppm and a double doublet at 2.6 ppm. In addition, in the spectra of Compounds III and IV peaks corresponding to their sugars are found.

The H-NMR results match well with the structures given in the Figures.

15

20

25

5

Deposited microorganisms

The following microorganism was deposited in Deutsche Sammlung von Mikroorganismen und Zellkulturen (DSM), Mascheroder Weg 1 b, D-38124 Braunschweig, Germany

| Microorganism | Deposition number | Deposition date |
|-----------------------|-------------------|-------------------|
| Streptomyces lividans | | |
| TK24/pSY15 | DSM 9436 | 15 September 1994 |

Scheme I

Structural formulas of the compounds obtained

WO 96/10581 PCT/FI95/00537

28

References

10

30

40

45

Arrowsmith, T.J., Malpartida, F., Sherman, D.H., Birch, A., Hopwood, D.A. and Robinson, J.A. 1992. Characterization of actI-homologous DNA encoding polyketide synthase genes from monensin producer Streptomyces cinnamonensis. Mol. Gen. Genet. 234: 254-264

Bhuyan, B.K. and Dietz, A. 1965. Fermentation, taxonomic, and biological studies of nogalamycin. Antimicrob. Agents Chemother. 1965:836-844.

Bibb, M.J., Sherman, D.H., Omura, S. and Hopwood, D.A. 1994 Cloning, sequencing and deduced functions of a cluster of Streptomyces genes probably encoding biosynthesis of the polyketide antibiotic frenolicin. Gene

- Bibb, M.J. Biro, S., Motamedi, H., Collins, J.F. and Hutchinson, C.R. 1989. Analysis of the nucleotide sequence of the *Streptomyces glaucescens tcmI genes* provides key information about the enzymology of polyketide antibiotic biosynthesis. EMBO J. 8: 2727-2736.
- Dower W.J., Miller, J.F. and Ragsdale, C.W. 1988. High efficiency transformation of *E.coli* by high voltage electroporation. Nucleic Acids Research 16:6127-6145.

Fernandez-Moreno, M.A., Martinez, E., Boto, L., Hopwood, D.A. and Malpartida, F. 1992. Nucleotide sequence and deduced functions of a set of cotranscribed genes of Streptomyces coelicolor A3(2) including the polyketide synthase for the antibiotic actinorhodin. J.Biol.Chem. 267: 19278-19290

Floss, H.G. (1987) Hybrid antibiotics – the contribution of the new gene combinations. Trends in Biotech. 5:111-115.

Fujivara, A. and Hoshino, T. 1986. Anthracycline antibiotics. CRC critical reviews in biotechnology. 3:2:133-157.

Gräfe, U., Dornberger, K., Wagner, C and Eckardt, K. 1989. Advances in bioconversion of anthracycline antibiotics. Biotech. Adv. 7:215-239.

Hallam, S.E., Malpartida, F. and Hopwood, D.A. 1988. Nucleotide sequence, transcription and deduced function of a gene involved in polyketide antibiotic synthesis in *Streptomyces coelicolor*. Gene 74:305–320.

Hopwood, D.A., M.J. Bibb, K.F. Chater, T. Kieser, C.J. Bruton, H.M. Kieser, D.J. Lydiate, C.P. Smith, J.M. Ward, and H. Schrempf. 1985. Genetic manipulations of *Streptomyces*: a laboratory manual. The John Innes Foundation, Norwich, United Kingdom.

Katz, L., and Donadio, S. 1993. Polyketide synthesis: prospects for hybrid antibiotics. Annu. Rev. Microbiol. 47:875-912.

- Kim, E-S., Bibb, M.J., Butler, M.J., Hopwood, D.A. and Sherman, D.H. 1994. Nucleotide sequence of the oxytetracycline (otc) polyketide synthase genes from *Streptomyces rimosus*. Gene 141:141-142.
- Malpartida, F. and Hopwood, D.A. 1984. Molecular cloning of the whole biosynthetic pathway of a *Streptomyces* antibiotic and its expression in a heterologous host. Nature 309:462-464.
- Malpartida, F., S.E. Hallam, H.M. Kieser, H. Motamedi, C.R. Hutchinson, M.J. Butler, D.A. Sugden, M. Warren, C.McKillop, C.R. Bailey, G.O. Humphreys, and D.A. Hopwood. 1987. Homology between *Streptomyces* genes coding for synthesis of different polyketides used to clone antibiotic synthesis genes. Nature (London) 325:818-821.
- McDaniel, R., S. Ebert-Khosla, D.A. Hopwood, and C. Khosla. 1993. Engineered biosynthesis of novel polyketides. Science 262:1546-1550.
- Niemi, J., K. Ylihonko, J. Hakala, R. Pärssinen, A. Kopio, and P. Mäntsälä. 1994. Hybrid anthracycline antibiotics: production of new anthracyclines by cloned genes from Streptomyces purpurascens in Streptomyces galilaeus. Microbiol. 140:1351-1358.
 - Sambrook, J., E.F. Fritsch, and T.Maniatis. 1989. Molecular cloning: a laboratory manual, 2nd ed. Cold Spring Harbor Laboratory Press, Cold Spring Harbor, N.Y.
- Shen, B. and Hutchinson, R. 1993. Enzymatic synthesis of a bacterial polyketide from acetyl and malonyl Coenzyme A. Science 262:1535-1540.
- Sherman, D.H., Malpartida, F., Bibb, M.J., Kleser, H.M. and Hopwood, D.A. 1989. Structure and deduced function of the granaticin-producing polyketide synthase gene cluster of *Streptomyces violaceoruber* Tù22. EMBO J. 8: 2717-2725.
- Ward, J.M, G.R. Janssen, T. Kieser, M.J. Bibb, M.J. Buttner and M.J. Bibb. 1986. Construction and charaterization of a series of multi-copy promoter-probe plasmid vectors for *Streptomyces* using the aminoglycoside phosphotransferase from Tn5 as indicator. Mol. Gen. Genet. 203:468-478.
 - Ylihonko, K., J. Hakala, J. Niemi, J. Lundell and P. Mäntsälä. 1994. Isolation and characterization of aclacinomycin A-nonproducing Streptomyces galilaeus (ATCC 31615) mutants. Microbiol. 140:1359-1365.
 - Yu, T.-W., Bibb, M.J., Revill, W.P. and Hopwood, D.A. 1994. Cloning, sequencing and analysis of the griseusin polyketide synthase gene cluster from *Streptomyces griseus*. J. Bacteriol. 176:2627-2634.

WO 96/10581 PCT/FI95/00537

30

SEQUENCE LISTING

| (1) GENE | RAL INFORMATION: |
|----------|---|
| (i) | APPLICANT: (A) NAMB: Galilaeus Oy (B) STREET: Elinantie 2 A 9 (C) CITY: Turku (E) COUNTRY: Suomi (F) POSTAL CODE (ZIP): FIN-20510 |
| (ii) | TITLE OF INVENTION: Process for producing anthracyclines and intermediates thereof |
| (iii) | NUMBER OF SEQUENCES: 5 |
| (iv) | COMPUTER READABLE FORM: (A) MEDIUM TYPE: Floppy disk (B) COMPUTER: IBM PC compatible (C) OPERATING SYSTEM: PC-DOS/MS-DOS (D) SOFTWARE: PatentIn Release #1.0, Version #1.25 (EPO) |
| (2) INFO | RMATION FOR SEQ ID NO: 1: |
| (i) | SEQUENCE CHARACTERISTICS: (A) LENGTH: 3252 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear |
| (ii) | MOLECULE TYPE: DNA (genomic) |
| (iii) | HYPOTHETICAL: NO |
| (iii) | ANTI-SENSE: NO |
| (vi) | ORIGINAL SOURCE: (B) STRAIN: Streptomyces nogalater ATCC 27451 |
| (ix) | FEATURE: (A) NAME/KEY: CDS (B) LOCATION: 3591651 (D) OTHER INFORMATION: /note= "ORF1" |
| (ix) | FEATURE: (A) NAME/KEY: CDS (B) LOCATION: 29373197 (D) OTHER INFORMATION: /note= "ORF3" |
| (ix) | FEATURE: (A) NAME/REY: misc feature (B) LOCATION: 16481651 (D) OTHER INFORMATION: /note= "overlapping sequence in ORF1 and ORF2" |
| (xi) | SEQUENCE DESCRIPTION: SEQ ID NO: 1: |
| GAATTCGG | CC GTACCCCGAC GGCCGATTCC TTACCCTTCC GGAGCGGCTT GCGGATCGCA 60 |
| GGACGAAG | TC CTCCCTCTCC CCCCATCGGG CGTCCGCTCT TTGTGACCGG TTCACGAGTC 120 |
| GGGTTCCA | GC GCTCCTCGAC TCAGGATCGA CCCCTTCCGC GGTAGCCGCC CCGCAGGAAC 180 |

| 7 |
|---|
| |

| CGC | AAAC | CTT: | CCGC | GCCG | GT C | CCGC | CGGG | C TI | CGCC | GCAC | ccc | TCCA | ATCC | GTC | TTGAG | C | 240 |
|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|----|-----|
| TGA | TTTC | :GAG | ACAG | GACG | CG C | ACTG | TCAC | C AC | GAGC | CCTG | TGC | GGTI | GAA | GTCA | TCACC | T | 300 |
| GTC | CGCG | CAC | AGGA | ACTI | CA A | GACG | ATCA | A AG | cccc | TAGT | GAA | GGGC | ATC | TTCG | ACGA | | 358 |
| ATG Met 1 | AAG Lys | GAA Glu | TCC Ser | ATC Ile | Aen | CGT Arg | CGC | GTG Val | GTC Val | Ile | ACC | GGA Gly | ATA Ile | GGG Gly 15 | ATC | | 406 |
| GTC Val | GCG Ala | CCC Pro | GAT Asp 20 | Ala | ACC Thr | GGG Gly | GTG Val | AAA Lys 25 | Pro | TTC Phe | TGG Trp | GAT Asp | CTG Leu 30 | Leu | ACG | | 454 |
| GCC Ala | GGT Gly | CGC Arg 35 | Thr | GCG | ACC | CGG Arg | ACC Thr 40 | Ile | ACC Thr | GCC Ala | TTC Phe | GAT Asp 45 | Pro | TCT Ser | CCG Pro | | 502 |
| TTC Phe | CGT Arg 50 | Ser | CGC Arg | ATC Ile | GCC Ala | GCG Ala 55 | GAA Glu | TGC Cys | GAT Asp | TTC Phe | GAC Asp 60 | Pro | CTT Leu | GCC Ala | GAA Glu | | 550 |
| GGG Gly 65 | CTG Leu | ACC | CCC Pro | CAG Gln | CAG Gln 70 | ATC Ile | CGG Arg | CGT | ATG Met | GAC Asp 75 | CGG Arg | GCC Ala | ACG Thr | CAG Gln | TTC Phe 80 | | 598 |
| GCG Ala | GTC Val | GTC Val | AGC Ser | GCC Ala 85 | CGG Arg | GAA Glu | AGC Ser | CTG Leu | GAG Glu 90 | GAC Asp | AGC Ser | GGA Gly | CTC Leu | GAC Asp 95 | CTC Leu | (| 646 |
| GGC Gly | GCC Ala | CTG Leu | GAC Asp 100 | GCC Ala | TCC Ser | CGC | ACC Thr | GGC Gly 105 | GTG Val | GTC Val | GTC Val | GGC Gly | AGC Ser 110 | GCG Ala | GTC Val | • | 694 |
| GGC Gly | TGC Cys | ACC Thr 115 | ACG Thr | AGC Ser | CTG Leu | GAA Glu | GAG Glu 120 | GAG Glu | TAC Tyr | GCG Ala | GTC Val | GTC Val 125 | AGC Ser | GAC Asp | AGC Ser | • | 742 |
| GGC Gly | CGG Arg 130 | AAC Aen | TGG Trp | CTG Leu | GTC Val | GAC Asp 135 | GAC Asp | GGC Gly | TAC Tyr | GCC Ala | GTA Val 140 | CCG Pro | CAC His | CTA Leu | TTC Phe | • | 790 |
| GAC Asp 145 | TAC Tyr | TTC Phe | GTG Val | CCC Pro | AGC Ser 150 | TCC Ser | ATC Ile | GCC Ala | GCC Ala | GAG Glu 155 | GTG Val | GCA Ala | CAC His | Asp GAC | CGC Arg 160 | 8 | 338 |
| ATC Ile | GGC Gly | GCG Ala | GAG Glu | GGC Gly 165 | CCC Pro | GTC Val | AGC Ser | CTC Leu | GTG Val 170 | TCG Ser | ACC Thr | GGG Gly | TGC Cys | ACC Thr 175 | TCG Ser | ε | 886 |
| | | | | | | | | | | CTG Leu | | | | | | 9 | 34 |
| GCG Ala | GAT Asp | GTG Val 195 | ATG Met | CTG Leu | GCC Ala | GGT Gly | GCG Ala 200 | ACC Thr | GAG Glu | GCG Ala | CCC Pro | ATC Ile 205 | TCC Ser | CCC Pro | ATC Ile | 9 | 82 |
| Thr | GTG Val 210 | GCG Ala | TGC Cys | TTC Phe | GAT Asp | GCC Ala 215 | ATC Ile | AAG Lys | GCG Ala | ACC Thr | ACC Thr 220 | CCC Pro | CGC Arg | AAC Asn | GAC Asp | 10 | 30 |
| ACG Thr 225 | CCC Pro | GCC Ala | GAG Glu | Ala | TCC Ser 230 | CGT Arg | CCG Pro | TTC Phe | GAC Asp | CGC Arg 235 | ACC Thr | AGG Arg | AAC Asn | GGG Gly | TTC Phe 240 | 10 | 78 |

WO 96/10581

| GTA Val | CTC Leu | GGC Gly | GAG Glu | GGC Gly 245 | GCT Ala | GCC Ala | GTG Val | TTC Phe | GTC Val 250 | CTG Leu | GAG Glu | GAG Glu | TTC Phe | GAA Glu 255 | CAC His | 1126 |
|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|------|
| GCG Ala | CGC Arg | CGC Arg | CGG Arg 260 | GGC Gly | GCG Ala | CTC Leu | GTG Val | TAC Tyr 265 | GCG Ala | GAG Glu | ATC Ile | GCC Ala | GGG Gly 270 | TTC Phe | GCC Ala | 1174 |
| ACT Thr | CGC Arg | TGC Cys 275 | AAC Asn | GCC Ala | TTC Phe | CAC His | ATG Met 280 | ACC Thr | GGT Gly | CTG Leu | CGC Arg | CCG Pro 285 | GAC Asp | GGG Gly | CGG Arg | 1222 |
| GAG Glu | ATG Met 290 | GCG Ala | GAG Glu | GCC Ala | ATC Ile | GGG Gly 295 | GTG Val | GCG Ala | CTC Leu | GCC Ala | CAG Gln 300 | GCG Ala | GCC | AAG Lys | GCG Ala | 1270 |
| CCG Pro 305 | GCT Ala | GAC Asp | GTC Val | GAC Asp | TAC Tyr 310 | GTC Val | AAC Asn | GCC Ala | CAC His | GGT Gly 315 | TCC Ser | GGC Gly | ACC Thr | CGG Arg | CAG Gln 320 | 1318 |
| | | | CAC His | | | | | | | | | | | | | 1366 |
| GCC Ala | TAC Tyr | CGG Arg | GTC Val 340 | CCG Pro | GTC Val | AGC Ser | AGC Ser | ATC Ile 345 | AAA Lys | TCC Ser | ATG Met | ATC Ile | GGG Gly 350 | CAC His | TCG Ser | 1414 |
| | | | ATC Ile | | | | | | | | | | | | | 1462 |
| ACA Thr | CAC His 370 | GAC Asp | GTG Val | GTG Val | CCG Pro | CCC Pro 375 | ACC Thr | GCC Ala | AAT Asn | CTG Leu | CAC His 380 | GAG Glu | CCG Pro | GAT Asp | CCC Pro | 1510 |
| GAG Glu 385 | TGC Cys | GAT Asp | CTG Leu | GAC Asp | TAC Tyr 390 | GTG Val | CCG Pro | CTG Leu | CGG Arg | GCG Ala 395 | CGT Arg | GCG Ala | TGC Cys | CCG Pro | GTG Val 400 | 1558 |
| GAC Asp | ACG Thr | GTG Val | CTC Leu | ACG Thr 405 | GTG Val | ejà eec | AGC Ser | GCG Gly | TTC Phe 410 | GGC Gly | GGT Gly | TTC Phe | CAG Gln | AGC Ser 415 | GCC Ala | 1606 |
| ATG Met | GTG Val | CTG Leu | TGC Cys 420 | GGT Gly | CCG Pro | GGC Gly | TCG Ser | CGG Arg 425 | GGA Gly | AGG Arg | TCG Ser | GCC Ala | GCG Ala 430 | TGA | ceccec | 1658 |
| CGT | GTG | GTG A | ACCG | STCT | CG GG | CGTC | STCG | c cc | CCAC | CGT | CTC | GGG' | rgc (| GGGA | CACTG | 1718 |
| GTC | GAGT | ACG (| GTCC | GGGG | G C | STCG | GCGA: | r cc | GACCO | GTC | ACC | CGGT | rcg i | ACGC | CGGCCG | 1778 |
| GTAC | ccca | AGC 2 | AAAC: | rggc | CG G | AGAGO | STGC | c cc | GT TT (| CGTC | CCG | GAGG | ACC 1 | ATCT | CCCAG | 1838 |
| CCG | CTG | ATG (| CCGC | AGAC | GG A | CCAT | ATGA | C GCC | CCT | GCG | CTC | STCG | CGG (| CGGA | CTGGGC | 1898 |
| CTT | CCAG | GAC (| GCCG | CCGT | GG A | CCCG' | rcgai | A GC | rgcc | GAG | TAC | GCG' | rcg (| CCT | GTCAC | 1958 |
| CGC | GAGT: | rcg (| CCGG | GGGG | ST T | CGAA' | rtcg | cci | ACCG | CAG | CTG | CAGA | ACC ! | TGTG(| GAGCCT | 2018 |
| GGG | CCCG | CAG ' | TACG: | rcag(| CG C | GTAT | CAGT | C GT | rcgcz | ATGG | TTC | TATG | CCG : | TGAA(| CACCGG | 2078 |
| TCA | GGTG: | TCC I | ATCC | GCA | og g | CTG | CGCG | G CC | CGGG | CGGG | GTG | CTGG: | TGA (| CGGAI | ACAGGC | 2138 |
| GGG | CGGC | CTG (| GACG | CCCT | rg g | GCAG | GCCC | G GC | GGCA | GTTG | CGG | CGCG | GAC S | TGCC | SATGGT | 2198 |
| CCT | rerr | cc» | פררפי | ተጥር እ | CC C | ביירבי | СССТ | G CC | ССТС | agge c | TGC | GTCC | CGC | AGCT | CAGCTC | 2258 |

| GGG | CGGC | CTC | AGCA | CGTC | GG P | CGAC | cccc | G CC | cccc | CTAT | CTG | CCGI | TCG | ACGC | CGCAG | C | 2318 |
|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|---|------|
| CGG | CGGA | CAC | GTGC | CGGG | AG A | GGGC | œccc | c cc | TGCT | CGTC | CTG | GAGA | .ccc | ACGA | .GTCGG | C | 2378 |
| CCG | GGCG | CGC | GGGG | TGAC | GC G | GTGG | TACG | G GC | GCAT | CGAT | GGG | TACG | CCG | CCAC | ATTCG | A | 2438 |
| CCC | CCCG | ccc | GGTT | CGGG | GC G | CCCG | CCGA | A CC | TGCT | CCGG | GCC | cccc | AGG | CGGC | ACTGG | A | 2498 |
| CGA | CGCG | GAG | GTCG | GACC | CG A | .GGCG | GTCG. | A CG | TGGT | GTTC | GCG | GACĠ | CGT | CCGG | CACCC | С | 2558 |
| GGA | CGAG | GAC | GCGG | CGGA | GG C | CGAC | CCGG | T GC | GGCG | CCTG | TTC | GGAC | CGT | ACGG | CGTTC | C | 2618 |
| GGT | GACG | GCG | CCGA | AGAC | CA T | GACC | GGCC | G CC | TCAG | CGCG | GGC | GGCG | CGG | CCCT | CGACG! | T | 2678 |
| GGC | GACG | GCG | CTGC | TGGC | GC T | GCGC | GAGG | G CG | TCGT | CCCG | CCG | ACGG | TCA | ACGT | CTCCC | G | 2738 |
| | | | | | | | | | | | | | | | GGCCA | | 2798 |
| | | | | | | | | | | | | | | • | GGGGC | | 2858 |
| | | | | | | | | | | | | | | | CACGG | A | 2918 |
| AGA | GAGA | GGG | ATGC | GACG | | | | | | | | | | CTC Leu 10 | | | 2969 |
| GAG Glu | ATC Ile | ATG Met | CGG Arg 15 | GAG Glu | TGC Cys | GCG Ala | GGC Gly | TAC Tyr 20 | GGT Gly | GAG Glu | GAC Asp | GTC Val | GAC Asp 25 | GCT Ala | CTG Leu | | 3017 |
| G17 GGC | GAC Asp | ACG Thr 30 | GAC Asp | GGC Gly | GCC Ala | GAC Asp | TTC Phe 35 | GCC Ala | GCA Ala | CTC Leu | GGC Gly | TAC Tyr 40 | GAC Asp | TCG Ser | CTG Leu | • | 3065 |
| GCG Ala | CTC Leu 45 | CTG Leu | GAA Glu | ACG Thr | GCC Ala | GGC Gly 50 | CGG Arg | CTC Leu | GAG Glu | CGC Arg | GAG Glu 55 | TTC Phe | GGC Gly | ATC Ile | CAG Gln | • | 3113 |
| CTC Leu 60 | GGT Gly | GAC Asp | GAG Glu | GTG Val | GTC Val 65 | GCC Ala | GAC Asp | GCC Ala | AGG Arg | ACG Thr 70 | CCT Pro | GCC Ala | GAG Glu | CTG Leu | ACC Thr 75 | : | 3161 |
| GCC Ala | CTG Leu | GTC Val | AAC Asn | CGG Arg 80 | Thr | GTG Val | GCC Ala | GAG Glu | GCG Ala 85 | GCC Ala | TGAC | cccc | cc (| GCCC | CACGAG | ; | 3214 |
| AGCO | GGG1 | GA (| CGCG1 | GTGI | A C | GCAC | :GGAA | CTO | CACAC | CA. | | | | | | 2 | 3252 |

(2) INFORMATION FOR SEQ ID NO: 2:

- (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 430 amino acids (B) TYPE: amino acid (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: protein
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:

Met Lys Glu Ser Ile Asn Arg Arg Val Val Ile Thr Gly Ile Gly Ile 1 15

Val Ala Pro Asp Ala Thr Gly Val Lys Pro Phe Trp Asp Leu Leu Thr 20 25 30

Ala Gly Arg Thr Ala Thr Arg Thr Ile Thr Ala Phe Asp Pro Ser Pro 35 40 45

| Phe | Arg 50 | Ser | Arg | Ile | Ala | Ala 55 | Glu | Сув | Asp | Phe | Asp 60 | Pro | Leu | Ala | Glu |
|------------|------------|------------|------------|------------|------------|------------|------------|------------|--------------|------------|------------|------------|------------|---------------------|------------|
| Gly 65 | Leu | Thr | Pro | Gln | Gln 70 | Ile | Arg | Arg | Met | Авр 75 | Arg | Ala | Thr | Gln | Phe 80 |
| Ala | Val | Val | Ser | Ala 85 | Arg | Glu | Ser | Leu | Glu 90 | Asp | Ser | Gly | Leu | Авр 95 | Leu |
| Gly | Ala | Leu | Asp 100 | Ala | Ser | Arg | Thr | Gly 105 | Val | Val | Val | Gly | Ser 110 | Ala | Val |
| Gly | Сув | Thr 115 | Thr | Ser | Leu | Glu | Glu 120 | Glu | Tyr | Ala | Val | Val 125 | Ser | Asp | Ser |
| Gly | Arg 130 | Asn | Trp | Leu | Val | Asp 135 | Asp | Gly | Tyr | Ala | Val 140 | Pro | His | Leu | Phe |
| Авр 145 | Tyr | Phe | Val | Pro | Ser 150 | Ser | Ile | Ala | Ala | Glu 155 | Val | Ala | His | Asp | Arg 160 |
| Ile | Gly | Ala | Glu | Gly 165 | Pro | Val | Ser | Leu | Val 170 | Ser | Thr | Gly | Сув | Thr 175 | Ser |
| Gly | Leu | Asp | Ala 180 | Val | Gly | Arg | Ala | Ala 185 | Asp | Leu | Ile | Ala | Glu 190 | Gly | Ala |
| Ala | Asp | Val 195 | Met | Leu | Ala | Gly | Ala 200 | Thr | Glu | Ala | Pro | 11e 205 | Ser | Pro | Ile |
| Thr | Val 210 | Ala | Сув | Phe | Asp | Ala 215 | | Lys | Ala | Thr | Thr 220 | Pro | Arg | Asn | Asp |
| 225 | | | | | 230 | | | | | 235 | | | Asn | | 240 |
| Val | Leu | Gly | Glu | Gly 245 | | Ala | Val | Phe | Val 250 | Leu | Glu | Glu | Phe | Glu 2 5 5 | His |
| Ala | Arg | Arg | Arg 260 | | Ala | Leu | Val | Tyr 265 | Ala | Glu | Ile | Ala | Gly 270 | Phe | Ala |
| | | 275 | i | | | | 280 | 1 | | | | 285 | | | |
| | 290 | | | | | 295 | • | | | | 300 |) | Gly | | |
| Pro 305 | | Asp | Val | Asp | 310 | | . Asr | Ala | His | Gly 315 | Ser | Gly | Thr | Arg | 320 |
| Asn | Asp | Arç | His | 325 | | Ala | Ala | Phe | 330 | Arg | Ser | Leu | Gly | Авр 335 | His |
| | | | 340 |) | | | | 345 | • | | | | 350 | , | |
| Lev | ı Gly | 359 | | e Gly | y Ser | Lev | 360 | ı Ile | Ala | Ala | a Ser | 7 Val | Leu | Ala | Ile |
| Thi | His 370 | | , Val | Va] | l Pro | 379 | | . Ale | A Asr | . Le | 380 | Glu O | Pro |) Ast | Pro |
| G1: 38! | | a Asj | p Lev | ı Ası | 390 | | l Pro | Lev | ı Arç | 39! | Arq | g Ala | а Сув | Pro | 400 |
| As | p Thi | c Va | l Leu | 1 Th: | | Gl | y Se | c Gly | y Phe 410 | e Gly | y Gly | Pho | e Glr | 1 Ser 419 | Ala 5 |

WO 96/10581 PCT/F195/00537

35

Met Val Leu Cys Gly Pro Gly Ser Arg Gly Arg Ser Ala Ala 420 425

- (2) INFORMATION FOR SEQ ID NO: 3:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 86 amino acids
 - (B) TYPE: amino acid (D) TOPOLOGY: linear

 - (ii) MOLECULE TYPE: protein
 - (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:

Val Lys Gln Gln Leu Thr Thr Glu Arg Leu Met Glu Ile Met Arg Glu

Cys Ala Gly Tyr Gly Glu Asp Val Asp Ala Leu Gly Asp Thr Asp Gly

Ala Asp Phe Ala Ala Leu Gly Tyr Asp Ser Leu Ala Leu Leu Glu Thr

Ala Gly Arg Leu Glu Arg Glu Phe Gly Ile Gln Leu Gly Asp Glu Val

Val Ala Asp Ala Arg Thr Pro Ala Glu Leu Thr Ala Leu Val Asn Arg

Thr Val Ala Glu Ala Ala 85

- (2) INFORMATION FOR SEQ ID NO: 4:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 3252 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear
 - (ii) MOLECULE TYPE: DNA (genomic)
 - (iii) HYPOTHETICAL: NO
 - (iii) ANTI-SENSE: NO
 - (vi) ORIGINAL SOURCE:
 - (B) STRAIN: Streptomyces nogalater ATCC 27451
 - (ix) FEATURE:

 - (A) NAME/KEY: CDS
 (B) LOCATION: 1648..2877
 - (D) OTHER INFORMATION: /note= "ORF2"
 - (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:

GAATTCGGCC GTACCCCGAC GGCCGATTCC TTACCCTTCC GGAGCGGCTT GCGGATCGCA 60 GGACGAAGTC CTCCCTCTCC CCCCATCGGG CGTCCGCTCT TTGTGACCGG TTCACGAGTC 120 GGGTTCCAGC GCTCCTCGAC TCAGGATCGA CCCCTTCCGC GGTAGCCGCC CCGCAGGAAC 180 CGCAAACCTT CCGCCCGGT CCCGCCGGC TTCGCCGCAC CCGTCCATCC GTCATTGAGC 240

| TGATTTCGAG ACAGGACGCG CACTGTCACC ACGAGCCCTG TGCGGTTGAA GTCATCACCT | 300 |
|---|------|
| GTCCGCGCAC AGGAACTTCA AGACGATCAA AGCCCCTAGT GAAGGGCATC TTCGACGAAT | 360 |
| GAAGGAATCC ATCAACCGTC GCGTGGTCAT CACCGGAATA GGGATCGTCG CGCCCGATGC | 420 |
| CACCGGGGTG AAACCGTTCT GGGATCTGCT GACGGCCGGT CGCACCGCGA CCCGGACCAT | 480 |
| CACCGCCTTC GATCCCTCTC CGTTCCGTTC CCGCATCGCC GCGGAATGCG ATTTCGACCC | 540 |
| GCTTGCCGAA GGGCTGACCC CCCAGCAGAT CCGGCGTATG GACCGGGCCA CGCAGTTCGC | 600 |
| GGTCGTCAGC GCCCGGGAAA GCCTGGAGGA CAGCGGACTC GACCTCGGCG CCCTGGACGC | 660 |
| CTCCCGCACC GGCGTGGTCG TCGGCAGCGC GGTCGGCTGC ACCACGAGCC TGGAAGAGGA | 720 |
| GTACGCGGTC GTCAGCGACA GCGGCCGGAA CTGGCTGGTC GACGACGGCT ACGCCGTACC | 780 |
| GCACCTATTC GACTACTTCG TGCCCAGCTC CATCGCCGCC GAGGTGGCAC ACGACCGCAT | 840 |
| CGGCGCGGAG GGCCCCGTCA GCCTCGTGTC GACCGGGTGC ACCTCGGGCC TGGACGCCGT | 900 |
| GGGCCGCGCG GCCGACCTGA TCGCCGAGGG AGCGGCGGAT GTGATGCTGG CCGGTGCGAC | 960 |
| CGAGGCGCCC ATCTCCCCCA TCACCGTGGC GTGCTTCGAT GCCATCAAGG CGACCACCCC | 1020 |
| CCGCAACGAC ACGCCCGCCG AGGCGTCCCG TCCGTTCGAC CGCACCAGGA ACGGGTTCGT | 1080 |
| ACTCGGCGAG GGCGCTGCCG TGTTCGTCCT GGAGGAGTTC GAACACGCGC GCCGCCGGGG | 1140 |
| CGCGCTCGTG TACGCGGAGA TCGCCGGGTT CGCCACTCGC TGCAACGCCT TCCACATGAC | 1200 |
| CGGTCTGCGC CCGGACGGGC GGGAGATGGC GGAGGCCATC GGGGTGGCGC TCGCCCAGGC | 1260 |
| GGGCAAGGCG CCGGCTGACG TCGACTACGT CAACGCCCAC GGTTCCGGCA CCCGGCAGAA | 1320 |
| TGACCGTCAC GAGACGGCGG CCTTCAAGCG CAGTCTCGGC GACCACGCCT ACCGGGTCCC | 1380 |
| GGTCAGCAGC ATCAAATCCA TGATCGGGCA CTCGCTGGGC GCGATCGGCT CCCTGGAGAT | 1440 |
| CGCCGCCTCC GTGCTGGCCA TCACACACGA CGTGGTGCCG CCCACCGCCA ATCTGCACGA | 1500 |
| GCCGGATCCC GAGTGCGATC TGGACTACGT GCCGCTGCGG GCGCGTGCGT GCCCGGTGGA | 1560 |
| CACGGTGCTC ACGGTGGGCA GCGGGTTCCG CGGTTTCCAG AGCGCCATGG TGCTGTGCGG | 1620 |
| TCCGGGCTCG CGGGGAAGGT CGGCCGC GTG ACG GCC GCC GTG GTG ACC Val Thr Ala Ala Val Val Thr 1 5 | 1671 |
| GGT CTC GGC GTC GCC CCC ACC GGT CTC GGG GTG CGG GAG CAC TGG Gly Leu Gly Val Val Ala Pro Thr Gly Leu Gly Val Arg Glu His Trp 10 15 20 | 1719 |
| TCG AGT ACG GTC CGG GGG GCG TCG GCG ATC GGA CCG GTC ACC CGG TTC Ser Ser Thr Val Arg Gly Ala Ser Ala Ile Gly Pro Val Thr Arg Phe 25 30 35 40 | 1767 |
| GAC GCC GGC CGG TAC CCC AGC AAA CTG GCC GGA GAG GTG CCC GGT TTC Asp Ala Gly Arg Tyr Pro Ser Lys Leu Ala Gly Glu Val Pro Gly Phe 45 50 55 | 1815 |
| GTC CCG GAG GAC CAT CTG CCC AGC CGG CTG ATG CCG CAG ACG GAC CAT Val Pro Glu Asp His Leu Pro Ser Arg Leu Met Pro Gln Thr Asp His 60 65 70 | 1863 |

| ATC Met | ACC Thi | G CGC Arc | Leu | G GCC | CTC | C GTC | GCG Ala 80 | Ale | GAC ABP | TG(| G GCG P Ala | TTO Pho | e Gl | G GAG | GCC Ala | 1911 |
|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-----------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|------|
| GCC Ala | GTG Val | . Asp | Pro | Ser | AAG Lye | CTG Leu 95 | Pro | GAC Glu | TAC Tyr | GG(| GT(Val 100 | Gl | C GTO / Val | G GTC | ACC Thr | 1959 |
| GCG Ala 105 | Ser | TCG Ser | GCG Ala | GGG Gly | GGG Gly 110 | Phe | GAA Glu | TTC Phe | GGC | CAC His | Arg | GAC Glu | CTC | G CAG | AAC Asn 120 | 2007 |
| CTG Leu | TGG | AGC Ser | CTG Leu | GGC Gly 125 | Pro | CAG Gln | TAC Tyr | GTC Val | AGC Ser 130 | Ala | TAT | CAC Glr | TCC Ser | TTC Phe 135 | GCA Ala | 2055 |
| TGG | TTC Phe | TAT | GCC Ala 140 | Val | AAC | ACC Thr | GGT Gly | CAG Gln 145 | GTG Val | TCC Ser | ATC Ile | CGG Arg | CAC His 150 | Gly | CTG Leu | 2103 |
| CGC Arg | GLY | CCG Pro 155 | GGC | GGG Gly | GTG Val | CTG Leu | GTG Val 160 | ACG Thr | GAA Glu | CAG Gln | GCG Ala | GGC Gly 165 | Gly | CTG Leu | GAC Asp | 2151 |
| GCC Ala | CTT Leu 170 | GGG Gly | CAG Gln | GCC Ala | CGG Arg | CGG Arg 175 | CAG Gln | TTG Leu | CGG Arg | CGC Arg | GGA Gly 180 | CTG Leu | CCG Pro | ATG Met | GTG Val | 2199 |
| GTC Val 185 | GCG Ala | GGA Gly | GCC Ala | GTT Val | GAC Asp 190 | GGC Gly | TCG Ser | CCC Pro | TGC Cys | CCC Pro 195 | TGG Trp | GCC | TGG Trp | GTG Val | GCG Ala 200 | 2247 |
| CAG Gln | CTC Leu | AGC Ser | TCG Ser | GGC Gly 205 | GGC Gly | CTC Leu | AGC Ser | ACG Thr | TCG Ser 210 | GAC Asp | GAC Asp | CCG Pro | CGC Arg | CGG Arg 215 | GCC Ala | 2295 |
| TAT Tyr | CTG Leu | CCG Pro | TTC Phe 220 | GAC Asp | GCC Ala | GCA Ala | GCC Ala | GGC Gly 225 | GGA Gly | CAC His | GTG Val | CCG Pro | GGA Gly 230 | GAG Glu | GGC Gly | 2343 |
| GGC Gly | GCC Ala | CTG Leu 235 | CTC Leu | GTC Val | CTG Leu | GAG Glu | AGC Ser 240 | GAC Asp | GAG Glu | TCG Ser | GCC Ala | CGG Arg 245 | GCG Ala | CGC Arg | GGG Gly | 2391 |
| GTG Val | ACG Thr 250 | CGG Arg | TGG Trp | TAC Tyr | GGG Gly | CGC Arg 255 | ATC Ile | GAT Asp | GGG Gly | TAC Tyr | GCC Ala 260 | GCC Ala | ACA Thr | TTC Phe | GAC Asp | 2439 |
| CCC Pro 265 | CCG Pro | CCC Pro | GGT Gly | TCG Ser | GGG Gly 270 | CGC Arg | CCG Pro | CCG Pro | AAC Asn | CTG Leu 275 | CTG Leu | CGG Arg | GCC Ala | GCG Ala | CAG Gln 280 | 2487 |
| GCG Ala | GCA Ala | CTG Leu | GAC Asp | GAC Asp 285 | GCG Ala | GAG Glu | GTC Val | GCA | CCC Pro 290 | GAG Glu | GCG Ala | GTC Val | GAC Asp | GTG Val 295 | GTG Val | 2535 |
| TTC Phe | GCG Ala | GAC Asp | GCG Ala 300 | TCC Ser | GGC Gly | ACC Thr | Pro | GAC Asp 305 | GAG Glu | GAC Asp | GCG Ala | GCG Ala | GAG Glu 310 | GCC Ala | GAC Asp | 2583 |
| GCG Ala | Val | CGG Arg 315 | CGC Arg | CTG Leu | TTC Phe | GGA Gly | CCG Pro 320 | TAC Tyr | GGC Gly | GTT Val | Pro | GTG Val 325 | ACG Thr | GCG Ala | CCG Pro | 2631 |
| Lys | ACC Thr 330 | ATG Met | ACC Thr | GGC Gly | Arg | CTC : Leu : 335 | AGC (Ser . | GCG Ala | GGC (Gly (| Gly | GCG Ala 340 | GCC Ala | CTC Leu | GAC Asp | GTG Val | 2679 |

| GCG Ala 345 | ACG Thr | GCG Ala | CTG Leu | CTG Leu | GCG Ala 350 | CTG Leu | CGC Arg | GAG Glu | GGC Gly | GTC Val 355 | GTC Val | CCG Pro | CCG Pro | ACG Thr | GTC Val 360 | 2727 |
|-------------------|------------|-------------------|-------------------|-------------------|-------------------|------------|-------------------|-------------------|-------------------|-------------------|------------|-------------------|-------------------|-------------------|-------------------|------|
| AAC Asn | GTC Val | TCC Ser | CGG Arg | CCG Pro 365 | CGG Arg | ccg Pro | GAG Glu | TAC Tyr | GAG Glu 370 | CTG Leu | GAC Asp | CTG Leu | GTG Val | CTC Leu 375 | GCC Ala | 2775 |
| CCC Pro | CGG Arg | CGC Arg | ACG Thr 380 | CCC Pro | CTG Leu | GCC Ala | AGG Arg | GCC Ala 385 | CTG Leu | GTG Val | CTC Leu | GCG Ala | CGG Arg 390 | Gly | CGG Arg | 2823 |
| GGC Gly | GCG Gly | TTC Phe 395 | AAT Asn | GCG Ala | GCG Ala | ATG Met | GTC Val 400 | GTG Val | GCG Ala | GGG Gly | CCG Pro | CGC Arg 405 | GCG Ala | GAG Glu | ACA Thr | 2871 |
| CGG Arg | TGA | AGCG | GCC (| CGGC | GCAG(| CC G | GAGC | CGCG | G TA | AGAG(| GCCA | CGG | AAGA | GAG | • | 2924 |
| AGG(| GATG | CGA (| CGGT | GAAG | CA G | CAGC | TGAC | G AC | GAA(| CGGC | TCA | TGGA | GAT | CATG | CGGGAG | 2984 |
| TGC | 3CGG(| GCT : | ACGG' | TGAG | GA C | GTCG | ACGC | T CT | GGGC | GACA | CGG. | ACGG | CGC | CGAC | TTCGCC | 3044 |
| GCA | CTCG | GCT . | ACGA | CTCG | CT G | GCGC | TCCT | G GA | AACG | GCCG | GCC | GGCT | CGA | cccc | GAGTTC | 3104 |
| GGC | ATCC | AGC | TCGG | TGAC | GA G | GTGG | TCGC | C GA | CGCC | AGGA | CGC | CTGC | CGA | GCTG. | ACCGCC | 3164 |
| CTG | GTCA | ACC | GGAC | GGTG | GC C | GAGG | CGGC | C TG | ACCC | GGCC | GGC | CCAC | GAG | AGCG | GGGTGA | 322 |
| ccc | GTGT | GTA | CGGC | ACGG | AA C | TCAC | ACA | | | | | | | | | 3252 |

(2) INFORMATION FOR SEQ ID NO: 5:

- (1) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 409 amino acids (B) TYPE: amino acid

 - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: protein

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:

Val Thr Ala Ala Val Val Val Thr Gly Leu Gly Val Val Ala Pro Thr 1 5 10 15

Gly Leu Gly Val Arg Glu His Trp Ser Ser Thr Val Arg Gly Ala Ser 20 25 30

Ala Ile Gly Pro Val Thr Arg Phe Asp Ala Gly Arg Tyr Pro Ser Lys
35 40 45

Leu Ala Gly Glu Val Pro Gly Phe Val Pro Glu Asp His Leu Pro Ser 50 55 60

Arg Leu Met Pro Gln Thr Asp His Met Thr Arg Leu Ala Leu Val Ala 65 70 75 80

Ala Asp Trp Ala Phe Gln Asp Ala Ala Val Asp Pro Ser Lys Leu Pro 85 90 95

Glu Tyr Gly Val Gly Val Val Thr Ala Ser Ser Ala Gly Gly Phe Glu 100 105 110

Phe Gly His Arg Glu Leu Gln Asn Leu Trp Ser Leu Gly Pro Gln Tyr 115 120 125

Val Ser Ala Tyr Gln Ser Phe Ala Trp Phe Tyr Ala Val Asn Thr Gly Gln Val Ser Ile Arg His Gly Leu Arg Gly Pro Gly Gly Val Leu Val Thr Glu Gln Ala Gly Gly Leu Asp Ala Leu Gly Gln Ala Arg Arg Gln 165 170 175 Leu Arg Arg Gly Leu Pro Met Val Val Ala Gly Ala Val Asp Gly Ser 180 185 190 Pro Cys Pro Trp Gly Trp Val Ala Gln Leu Ser Ser Gly Gly Leu Ser 195 200 205 Thr Ser Asp Asp Pro Arg Arg Ala Tyr Leu Pro Phe Asp Ala Ala Ala 210 215 220 Gly Gly His Val Pro Gly Glu Gly Gly Ala Leu Leu Val Leu Glu Ser 225 230 235 240 Asp Glu Ser Ala Arg Ala Arg Gly Val Thr Arg Trp Tyr Gly Arg Ile 245 255 Asp Gly Tyr Ala Ala Thr Phe Asp Pro Pro Pro Gly Ser Gly Arg Pro 260 265 270 Pro Asn Leu Leu Arg Ala Ala Gln Ala Ala Leu Asp Asp Ala Glu Val Gly Pro Glu Ala Val Asp Val Val Phe Ala Asp Ala Ser Gly Thr Pro 290 295 300 Asp Glu Asp Ala Ala Glu Ala Asp Ala Val Arg Arg Leu Phe Gly Pro Tyr Gly Val Pro Val Thr Ala Pro Lys Thr Met Thr Gly Arg Leu Ser Ala Gly Gly Ala Ala Leu Asp Val Ala Thr Ala Leu Leu Ala Leu Arg Glu Gly Val Val Pro Pro Thr Val Asn Val Ser Arg Pro Arg Pro Glu 355 360 365 Tyr Glu Leu Asp Leu Val Leu Ala Pro Arg Arg Thr Pro Leu Ala Arg Ala Leu Val Leu Ala Arg Gly Arg Gly Gly Phe Asn Ala Ala Met Val 385 390 395 400

Val Ala Gly Pro Arg Ala Glu Thr Arg 405

40 INDICATIONS RELATING TO A DEPOSITED MICROORGANISM

(PCT Rule 13bis)

| A. The indications made below relate to the microorganism references 26 | erred to in the description 25 |
|--|--|
| B. IDENTIFICATION OF DEPOSIT | Further deposits are identified on an additional sheet |
| Name of depositary institution | |
| Deutsche Sammlung von Mikroorg | ganismen und Zellkulturen (DSM) |
| Address of depositary institution (including postal code and country) | |
| Mascheroder Weg 1 b, D-38124 l | Braunschweig, Germany |
| Date of deposit 15 September 1994 | Accession Number DSM 9436 |
| C. ADDITIONAL INDICATIONS (leave blank if not applicat | ble) This information is continued on an additional sheet |
| sought, a sample of the deposited microorganiss mention of the grant of the European patent or Finland or Norway or until the date on which to deemed to be withdrawn, only by the issue of squesting the sample (Rule 28(4) EPC and the content of th | m will be made available until the publication of the the corresponding information concerning the patent in the application has been refused or withdrawn or is such a sample to an expert nominated by the person recorresponding regulations in Finland and Norway). ONS ARE MADE (if the indications are not for all designated States) |
| | al Bureau later (specify the general nature of the indications e.g., "Accession |
| Number of Deposit") | |
| For receiving Office use only This sheet was received with the international application | This sheet was received by the International Bureau on: |
| Talls speet was received with the international application | |
| Authorized officer Nina Thilisesh | Authorized officer |

Form PCT/RO/134 (July 1992)

WO 96/10581 PCT/F195/00537

41

Indications relating to deposited microorganisms

Continuation to C. ADDITIONAL INDICATIONS

DSM 9436

When designating Australia, in accordance with regulation 3.25 of the Patents Regulations (Australia Statutory Rules 1991 No. 71), samples of materials deposited in accordance with the Budapest Treaty in relation to this Patent Request are only to be provided before: the patent is granted on the application; or the application has lapsed or been withdrawn or refused; to a person who is: a skilled addressee without an interest in the invention; and nominated by a person who makes a request for the furnishing of those samples.

Claims

5

- 1. Isolated and purified DNA-fragment, which is a gene fragment of the anthracycline biosynthetic pathway of the bacterium *Streptomyces nogalater* being included in an actI-hybridizing 12 kb BglII-fragment of S. nogalater genome.
 - 2. DNA-fragment according to claim 1, which comprises the nucleotide sequence given in SEQ ID NO:1 or a functional part thereof.
- 3. Recombinant-DNA-construction, which comprises the DNA-fragment according to claim 1 or 2, included in a plasmid which can be transferred into a Streptomycesbacterium and is copied therein.
- 4. Recombinant-DNA-construction according to claim 3 which is the plasmid pSY15,
 the structure of which is given in Fig. 3, and which was deposited in S. lividans strain TK24/pSY15 with the deposition number DSM 9436.
- 5. Process for the production of anthracyclines and precursors thereof, comprising transferring the DNA-fragment according to claim 1 or 2 into a foreign Streptomyces
 host, cultivating the recombinant strain obtained, and isolating the products formed.
 - 6. Process according to claim 5, wherein the Streptomyces host is S. lividans or S. galilaeus.
- 7. Process according to claim 5 for producing auramycinone or glycosides thereof, comprising transferring the DNA-fragment according to claim 2 into Streptomyces galilaeus host or a mutant thereof, cultivating the recombinant strain so obtained and isolating auramycinone or a glycoside thereof as formed.
- 30 8. Process according to claim 7, wherein the *Streptomyces galilaeus* host is the mutant strain H028 of S. galilaeus ATCC 31615.

9. An anthracycline precursor which is obtainable according to claim 5 and has the following formula I

5

A) (starting unit: propionate)

2-OH-aklanone acid (H061)

Methyl ester of aklanone acid (H036)

Aklavinone (H039)

Aclacinomycin

Daunorubicin

ε-rhodomycinone

1

Fig. 1A/1

ε-rhodomycinone

Betaclamycin (H038/EB3)

Rhodomycin

Fig. 1A/2

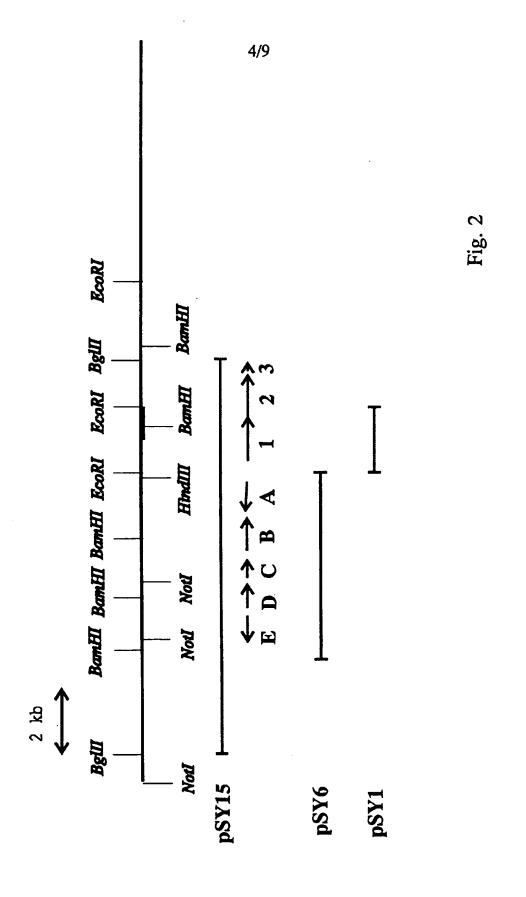
B) (starting unit: acetate)

OCH₃

Fig. 1B

Nogalamycin

WO 96/10581 PCT/F195/00537



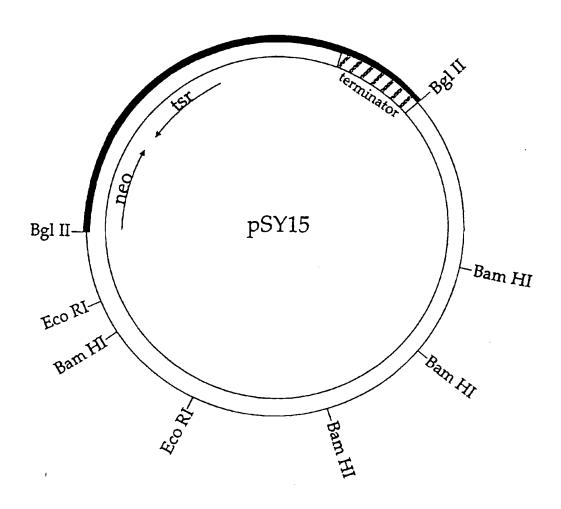
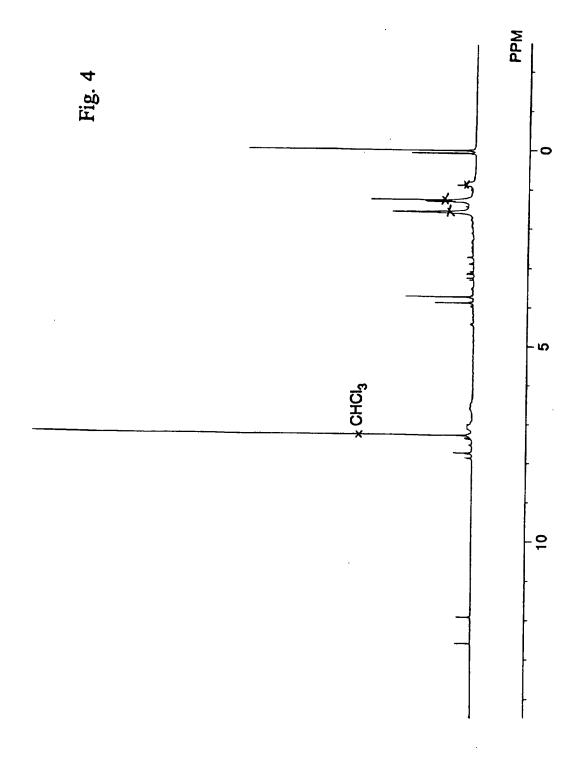
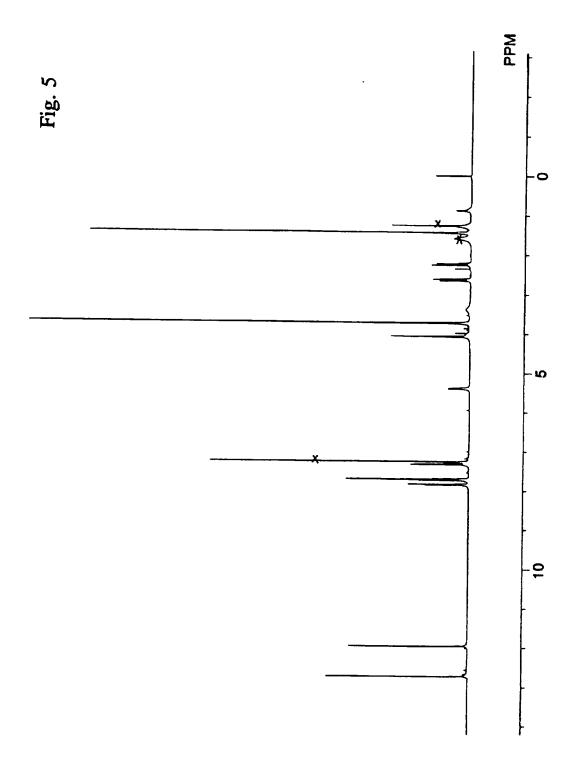
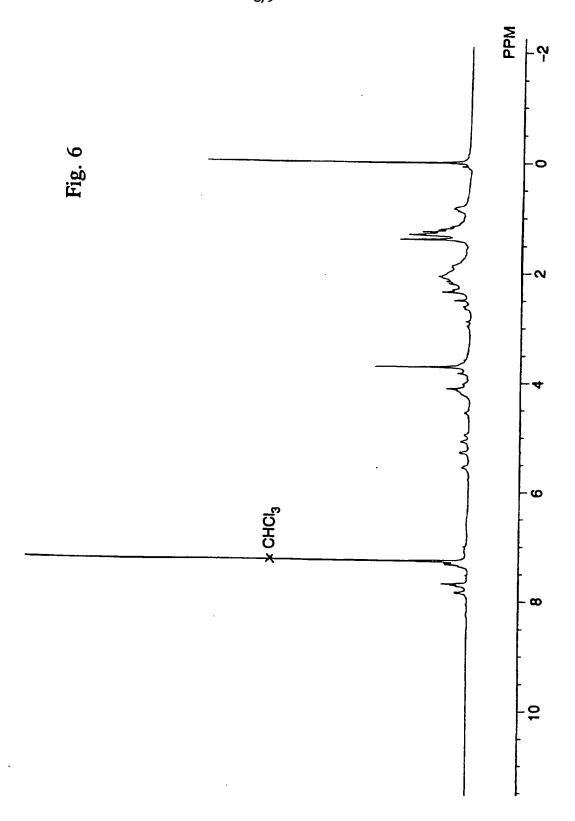


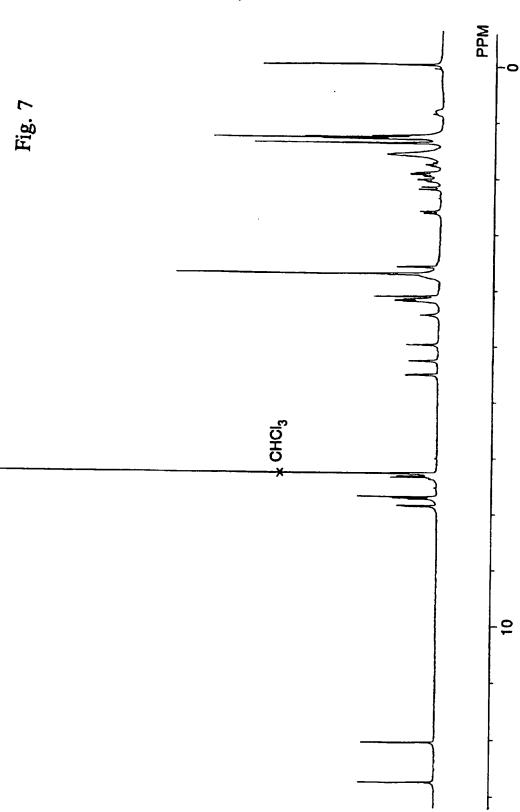
Fig. 3











International application No. PCT/FI 95/00537

A. CLASSIFICATION OF SUBJECT MATTER IPC6: C07K 14/36, C12N 15/31, C12P 19/56 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) IPC6: CO7K Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched SE,DK,FI,NO classes as above Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) MEDLINE, BIOSIS, EMBASE, WPI, WPIL, US PATENT FULLTEXT DATABASES, SCISEARCH C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Category* Relevant to claim No. Proc.Natl.Acad.Sci., Volume 86, May 1989, Kim J. Stutzman-Engwall et al, "Multigene families X for anthracycline antibiotic production in Streptomyces peucetius", page 3135 - page 3139, page 3135 left column; page 3136 right column A 2-9 WO 9216629 A1 (LEIRAS OY), 1 October 1992 X 1 (01.10.92), page 6, line 6 - line 17; page 8, line 35 - page 9, line 5 the claims 2-9 X Further documents are listed in the continuation of Box C. χ See patent family annex. Special categories of cited documents: later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention document defining the general state of the art which is not considered to be of particular relevance "E" erlier document but published on or after the international filing date "X" document of particular relevance: the claimed invention cannot be document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other considered novel or cannot be considered to involve an inventive step when the document is taken alone special reason (as specified) "Y" document of particular relevance: the claimed invention cannot be "O" document referring to an oral disclosure, use, exhibition or other considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art means document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 07-02-1996 29 January 1996 Name and mailing address of the ISA/ Authorized officer Swedish Patent Office Box 5055, S-102 42 STOCKHOLM Carolina Palmcrantz Facsimile No. +46 8 666 02 86 Telephone No. +46 8 782 25 00

International application No.
PCT/FI 95/00537

| | 3 | | |
|-------------|---|-------------|-----------------------|
| C (Continua | ation). DOCUMENTS CONSIDERED TO BE RELEVANT | | |
| | Citation of document, with indication, where appropriate, of the releva | nt passages | Relevant to claim No. |
| P,X | EMBL, Accession No:S52400, Ylihonko et al: "Characterization of the polyketide synthas gene cluster from the nogalamycin producer Streptomyces nogalater", & submitted to the EMBL Data Library, February 1995 | 1-8 | |
| ļ | | | |
| 1 | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| Ì | | | |
| | | | |
| | | | |
| [| | | |
| l | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| ļ | | | |
| | | | 1 |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | 1 |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

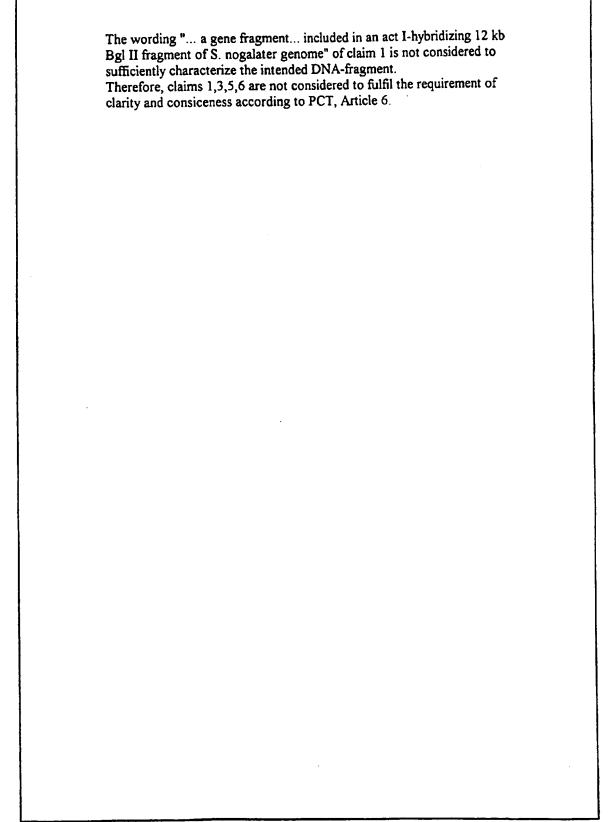
Form PCT/ISA/210 (continuation of second sheet) (July 1992)

International application No.
PCT/FI 95/00537

| 1 | |
|----------|--|
| Box I | Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet) |
| This in | sternational search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons: |
| 1. | Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely: |
| 2. X | Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically: see extra sheet |
| 3. | Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a). |
| Box II | Observations where unity of invention is lacking (Continuation of item 2 of first sheet) |
| This Int | ernational Searching Authority found multiple inventions in this international application, as follows: |
| | |
| 1. 🗀 | As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims. |
| 2. | |
| ٠ ـــ | As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee. |
| 3. | As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.: |
| 4. | No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: |
| Remark o | on Protest The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees. |

Form PCT/ISA/210 (continuation of first sheet (1)) (July 1992)

International application No. PCT/FI 95/00537



Form PCT/ISA/210 (extra sheet) (July 1992)

Information on patent family members

05/01/96

International application No.
PCT/FI 95/00537

| Patent of cited in se | Patent document Publication ed in search report date | | Patent men | Publication date | | |
|-----------------------|--|----------|------------------|------------------|----------------------|--|
| 0-A1- | 9216629 | 01/10/92 | AU-A- FI-B,C- | 1446192 93860 | 21/10/92 28/02/95 | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | · | | | | |
| | | | | • | | |
| | | | | | | |
| | | | | | | |

Form PCT/ISA/210 (patent family annex) (July 1992)